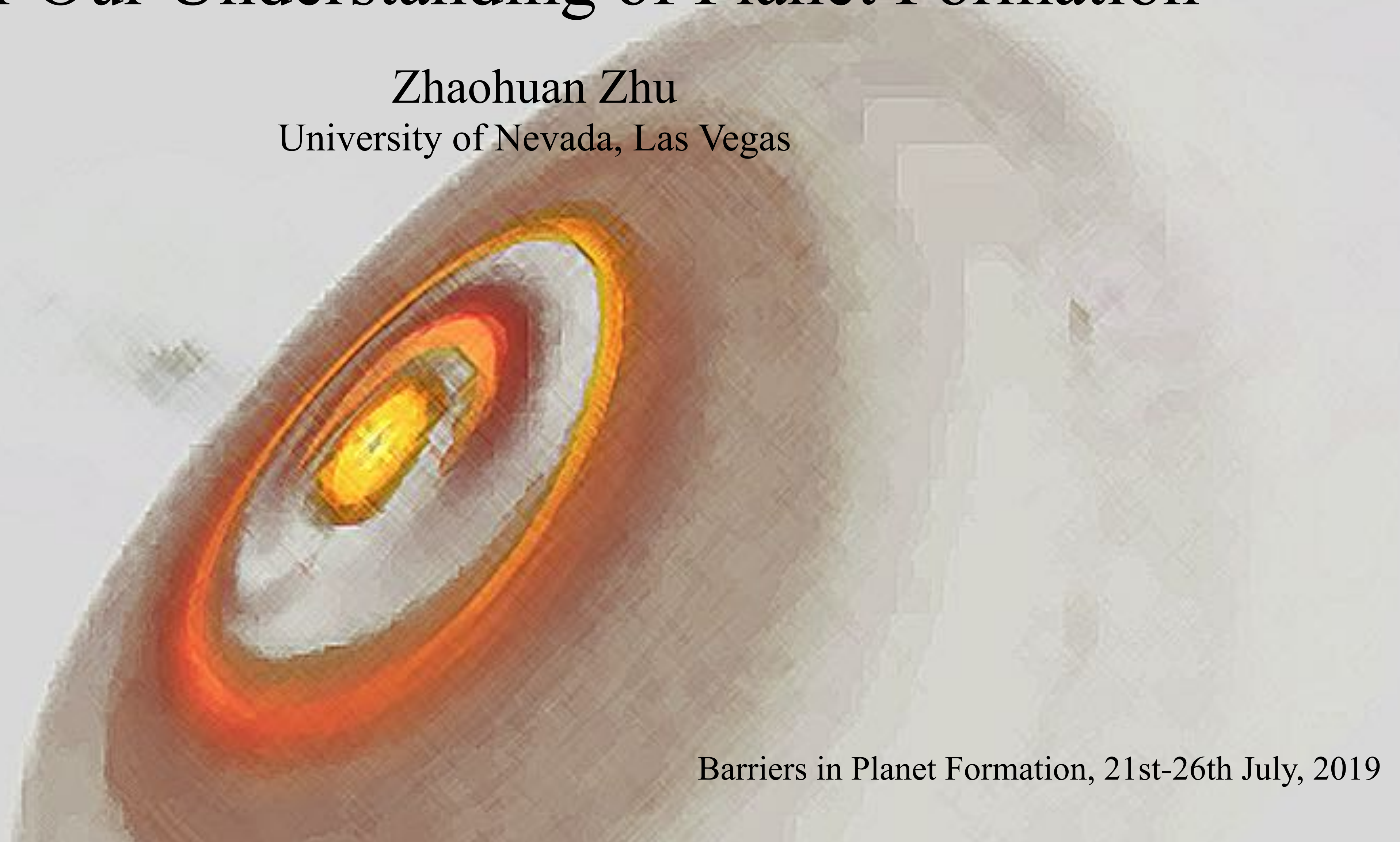


# Substructures and Dust Scattering for Our Understanding of Planet Formation

Zhaohuan Zhu  
University of Nevada, Las Vegas

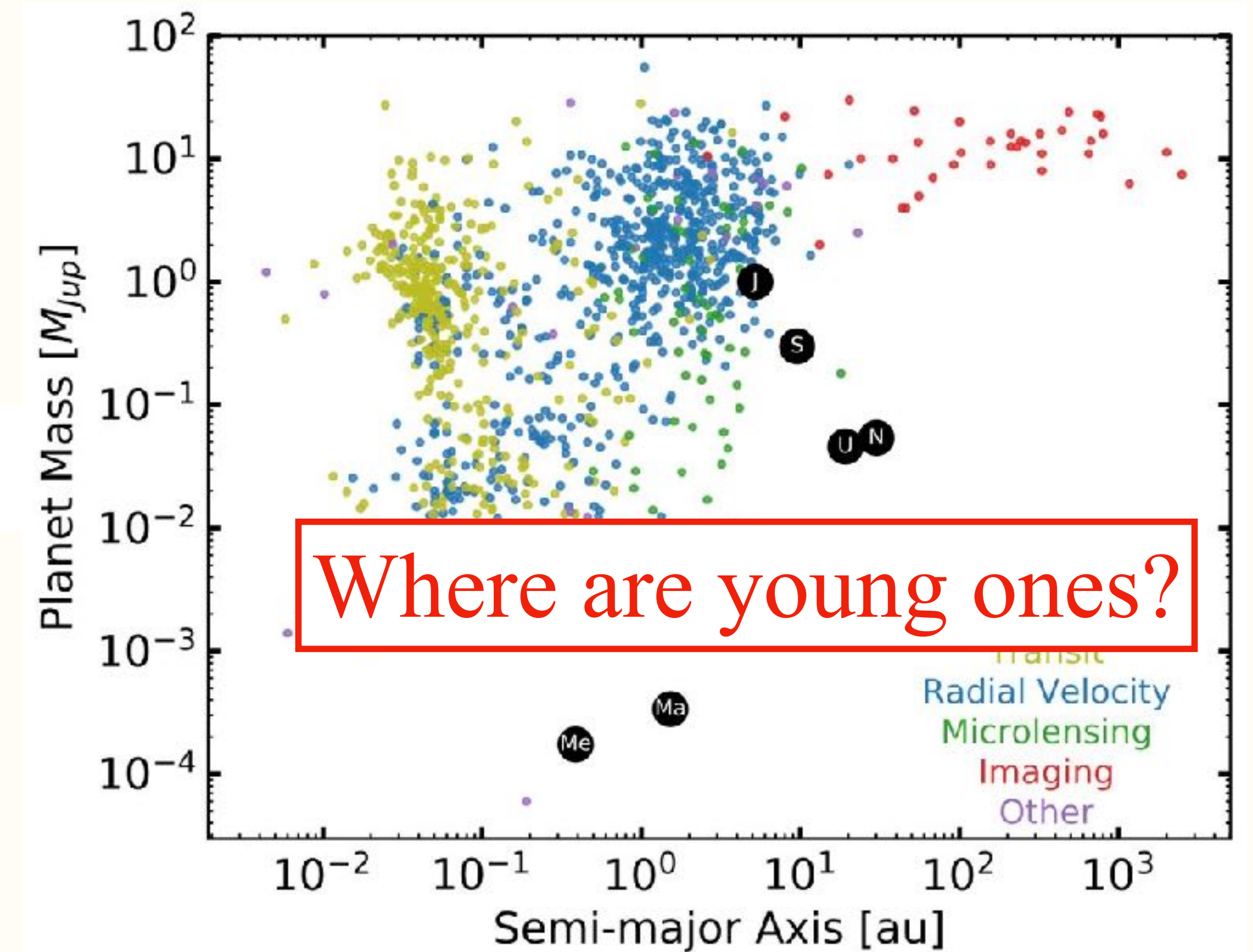
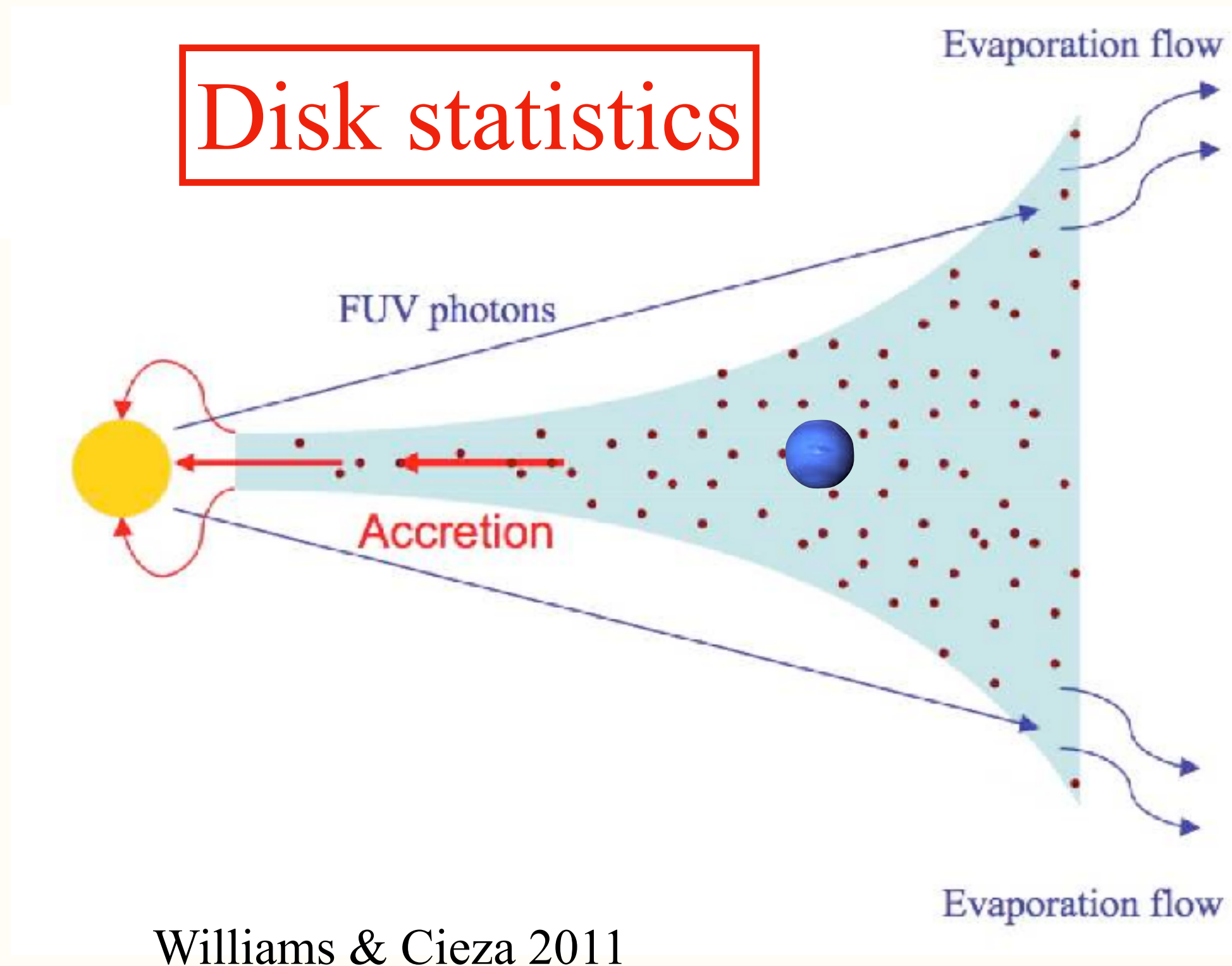


Barriers in Planet Formation, 21st-26th July, 2019



# How do protoplanetary disks evolve to such diverse exoplanets?

1. Study disk structures and young planet population (High resolution)
2. Understand the demographics of protoplanetary disks (High sensitivity)



# Two new barriers:

---

1. Disk substructures (young planets)
  - Planet-disk interaction
  - Young planet population from DSHARP
2. Dust scattering (demographics of protoplanetary disks)
  - Mass budget problem
  - One solution: dust scattering



# Diverse disk structure

Axisymmetric

Rings/gaps

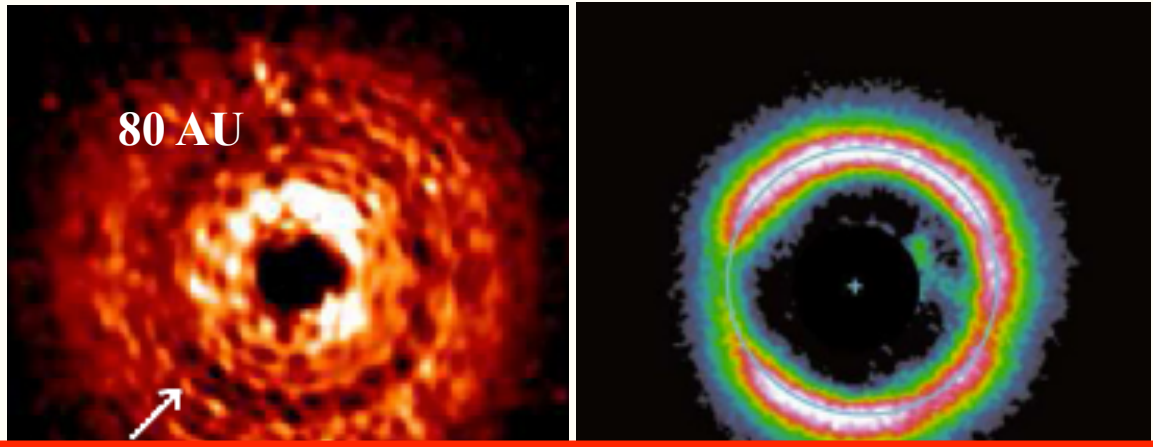
nonaxisymmetric

Blobs

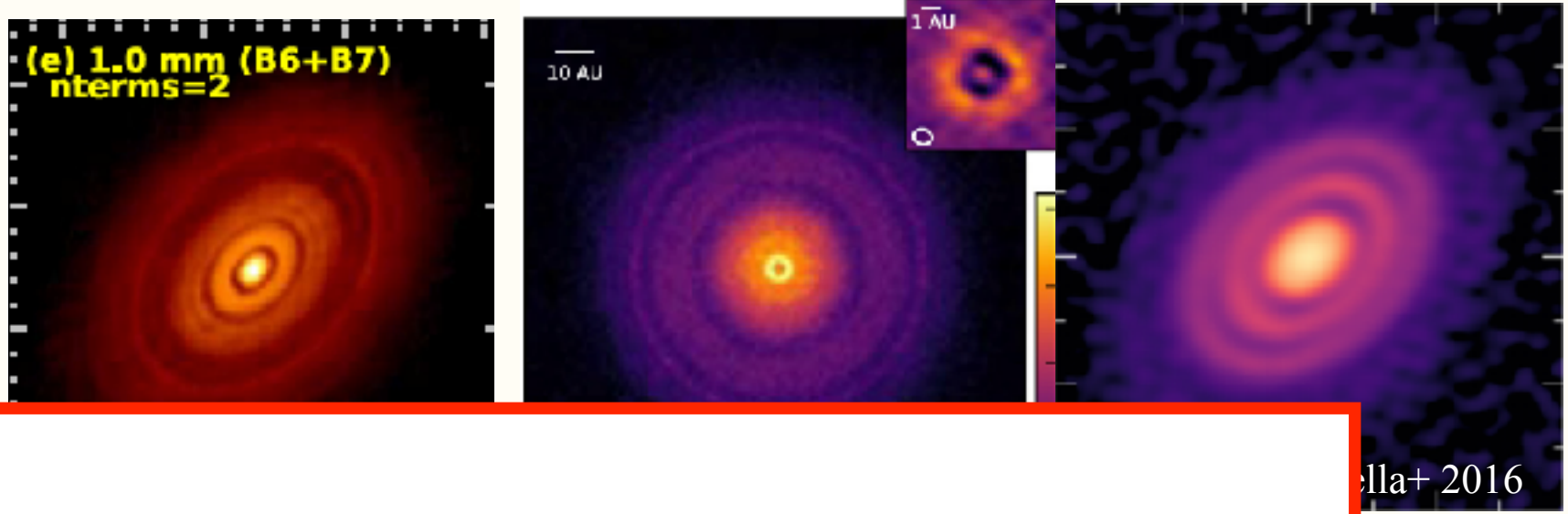
Spirals

Disk Shadow

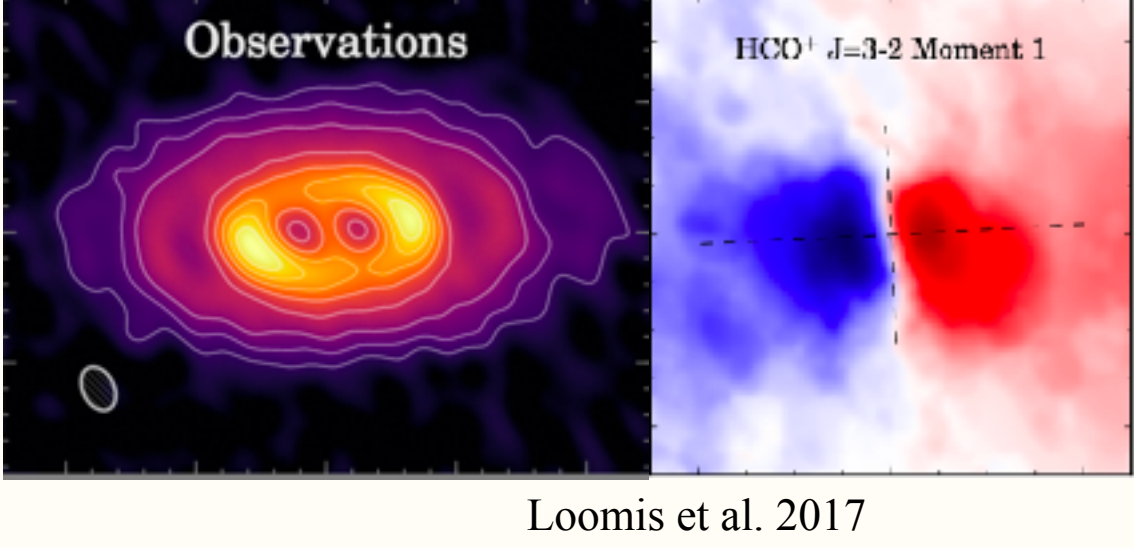
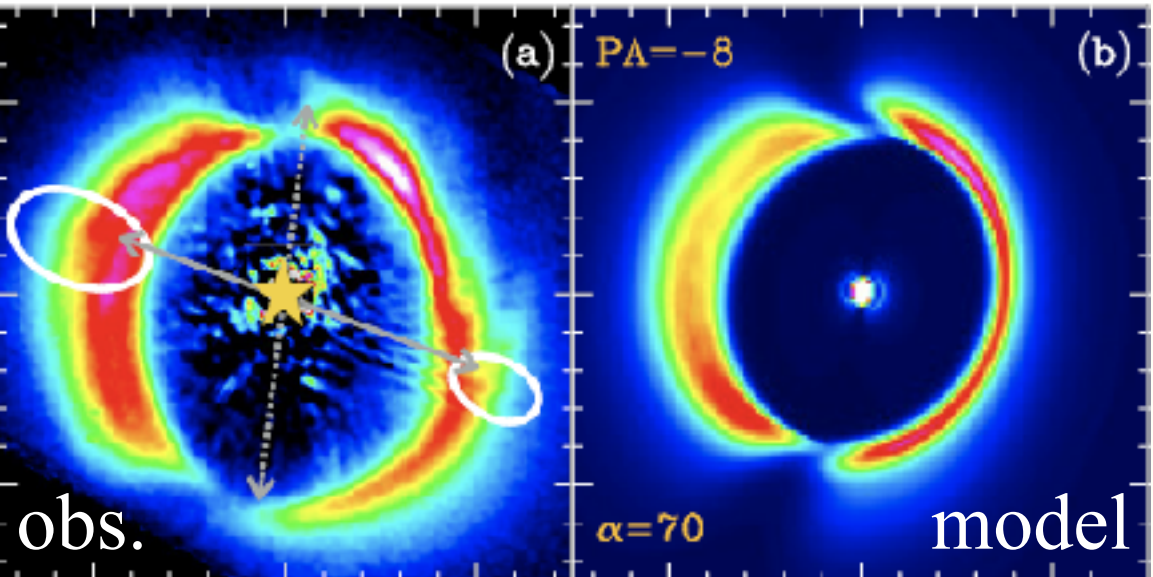
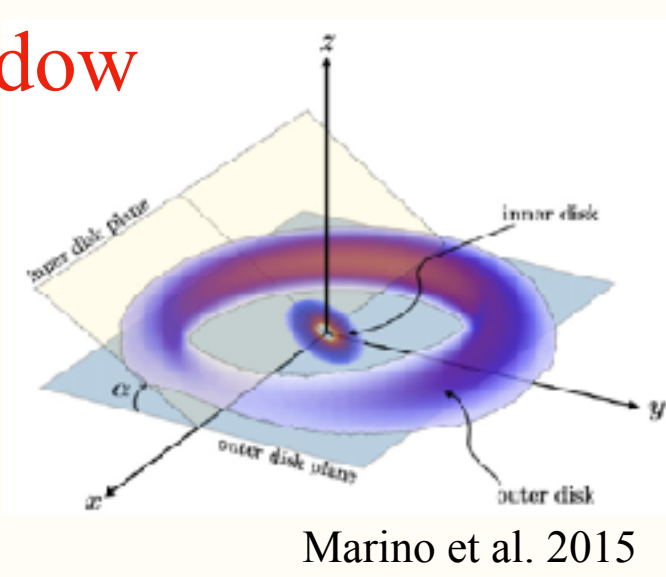
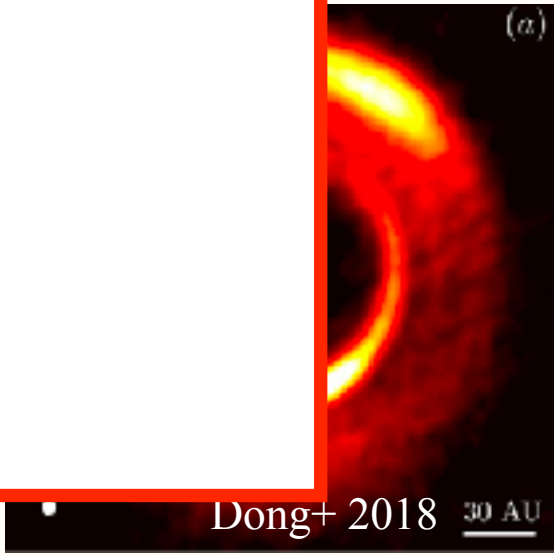
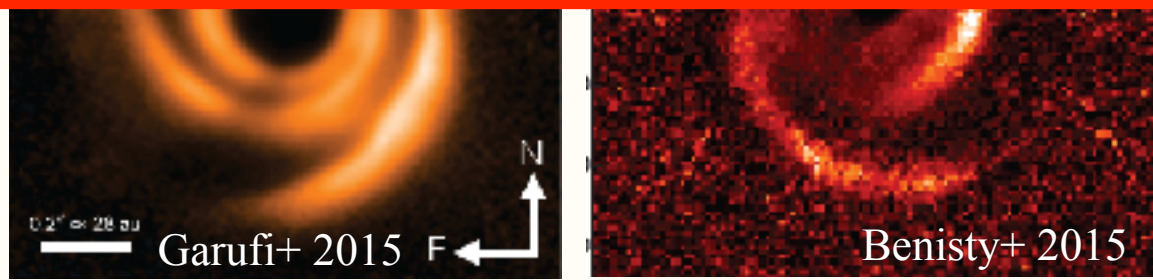
Optical/Near-IR



Radio



1. What causes these structures ?
2. If these structures are caused by..., what can we learn?  
Does that make sense?



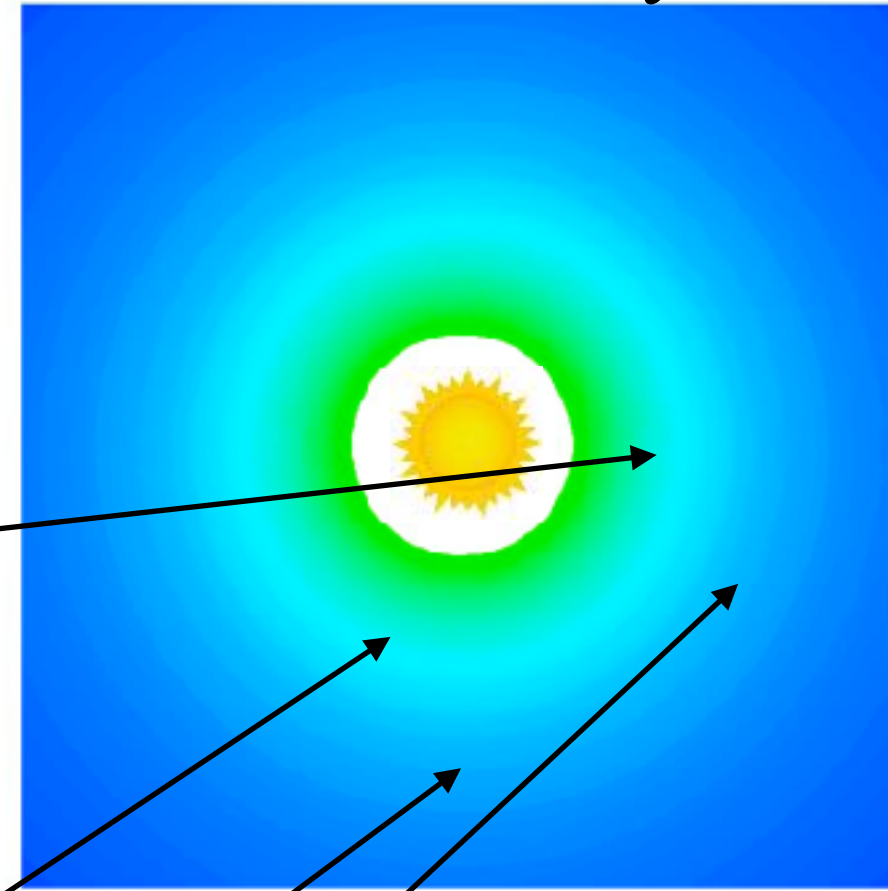


# Features due to coplanar young planets

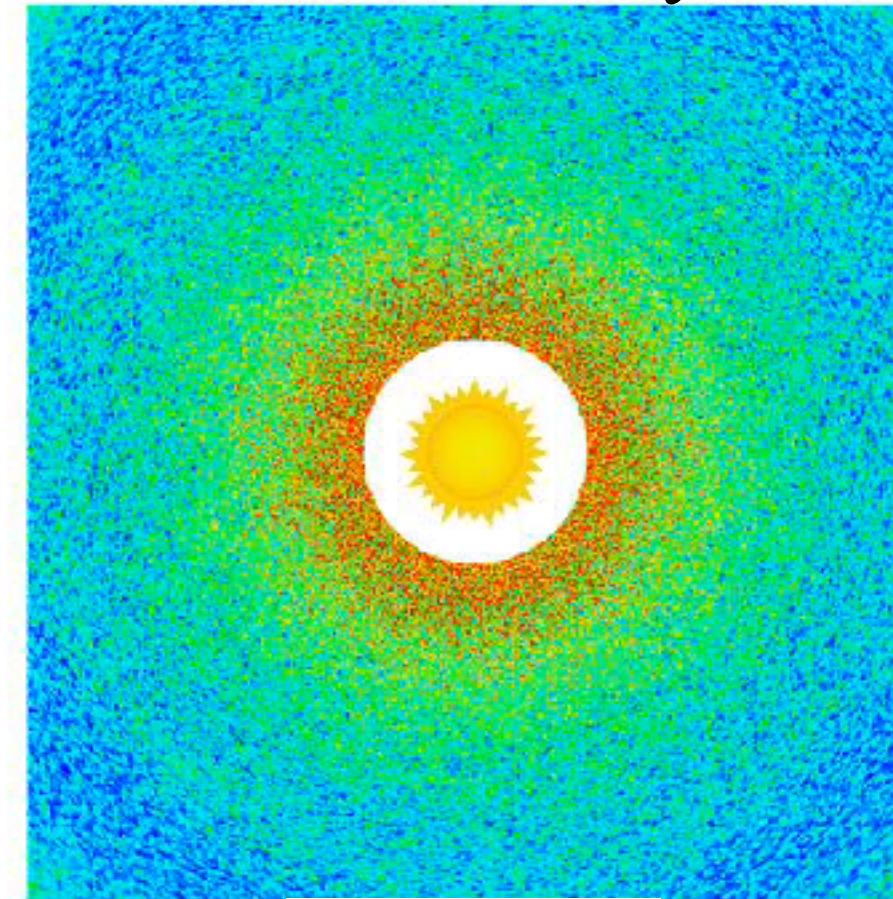
Direct Signatures:

The Planet and  
Circumplanetary Disk

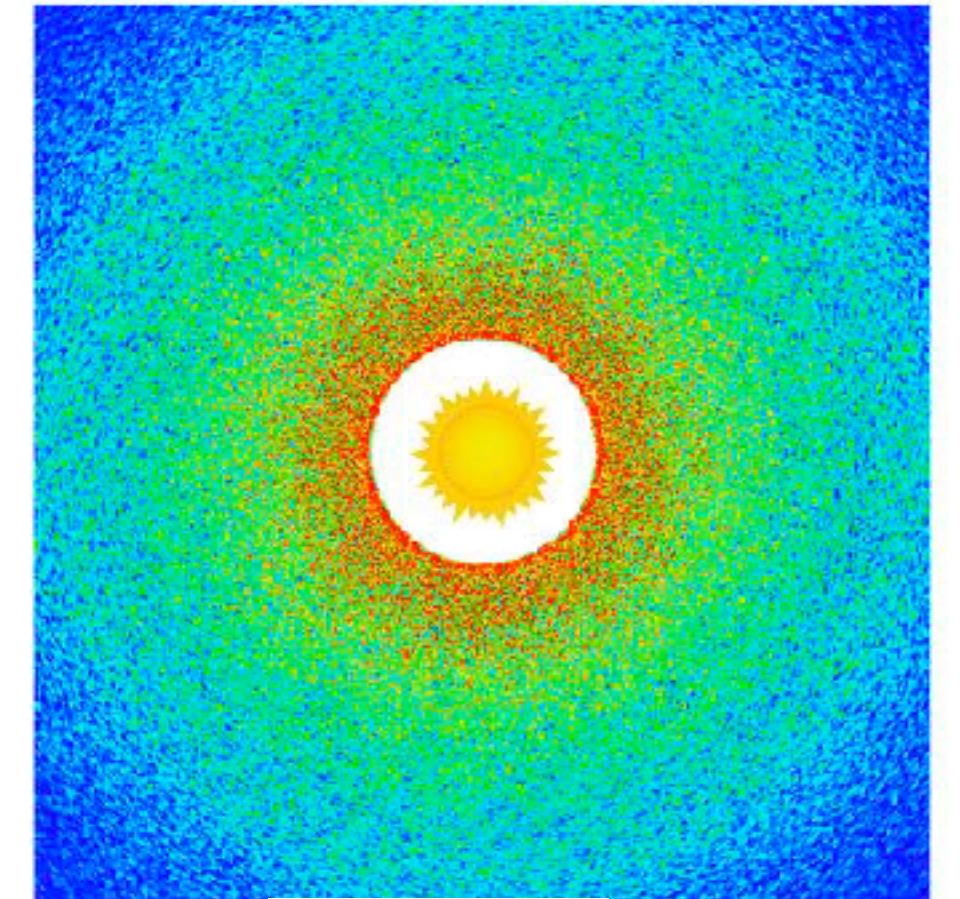
Gas density



Dust density



10  $\mu\text{m}$



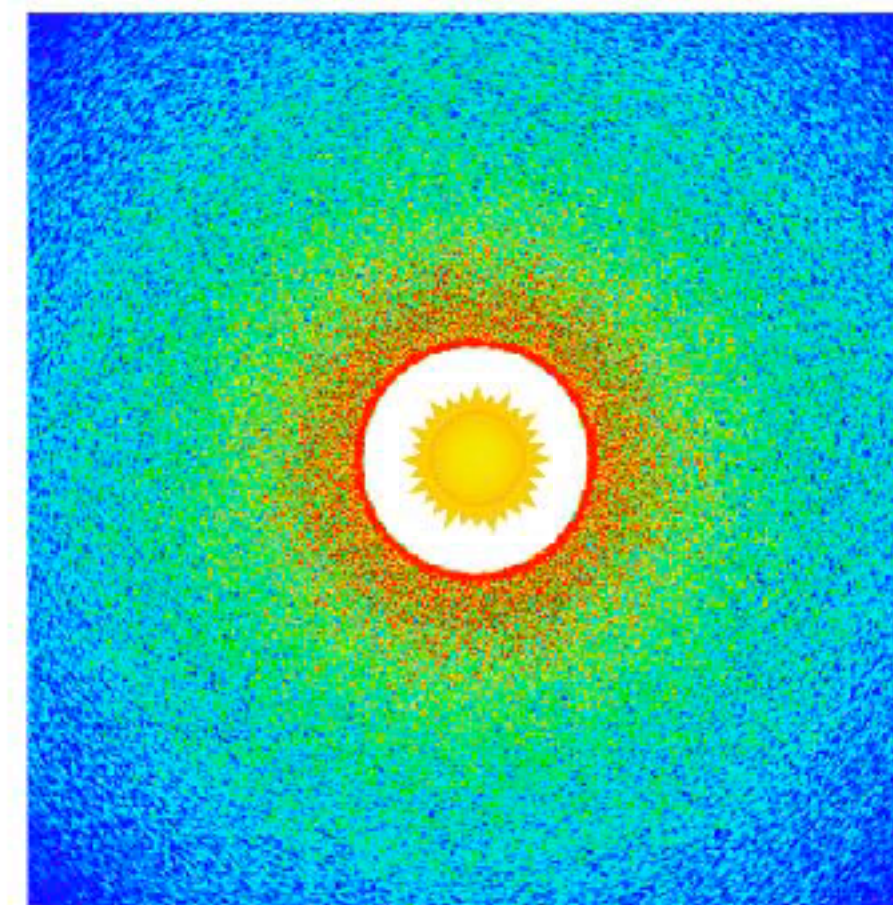
1 mm

Indirect Signatures:

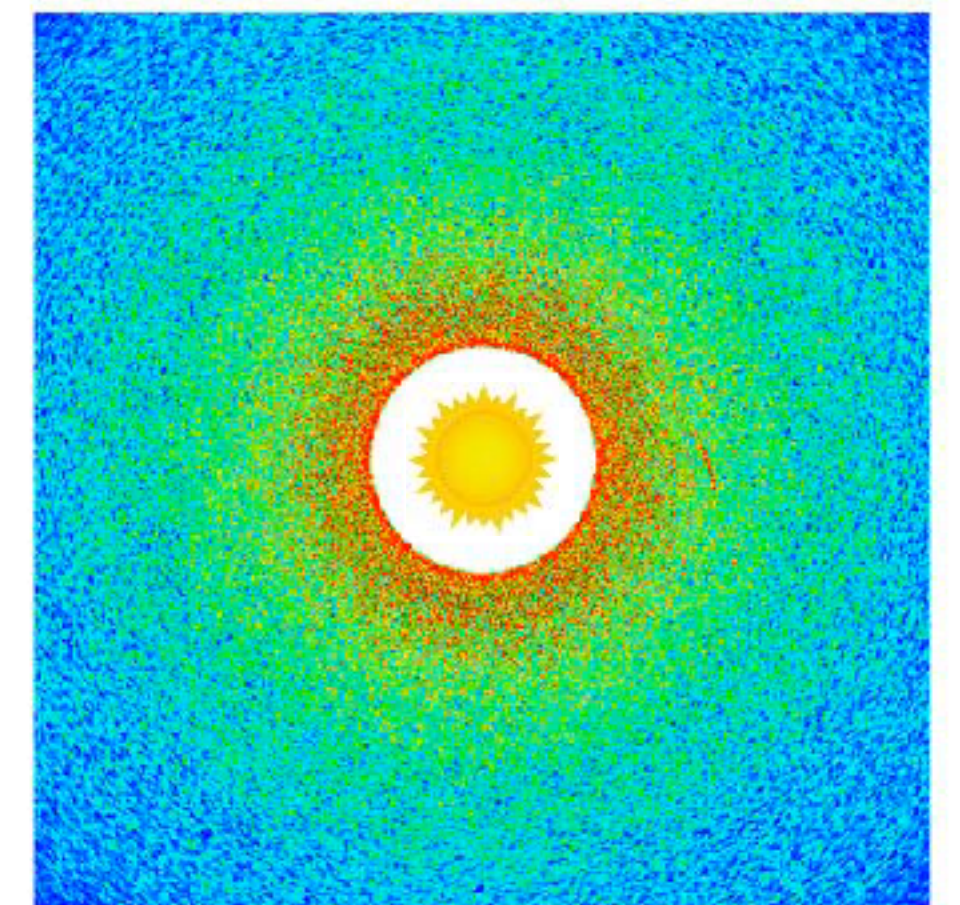
Gaps

Spirals

Blobs



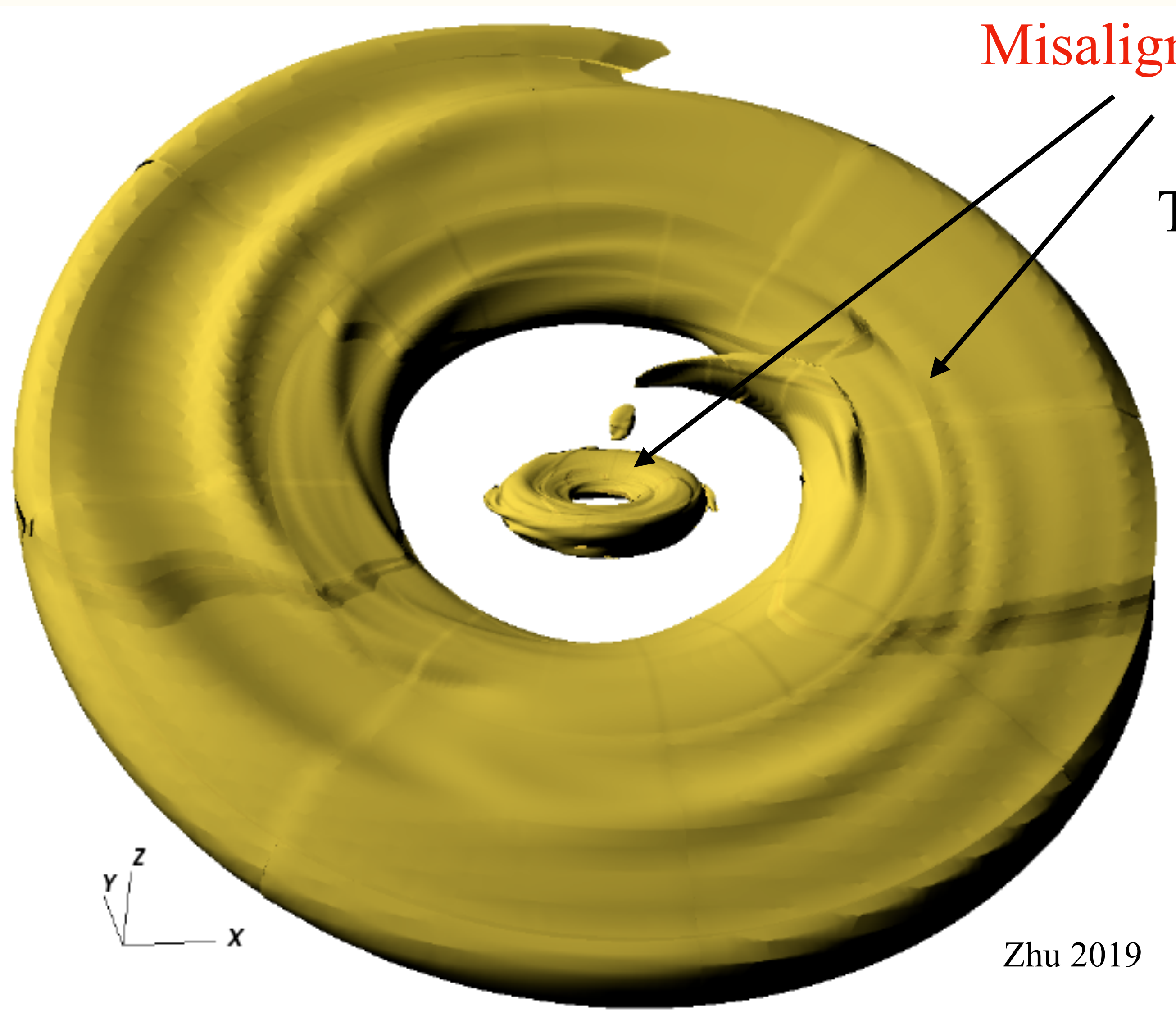
1 cm



1 km



# Features due to misaligned planets



**Misaligned** inner and outer disks

To break the disk, the planet mass needs:

$$q > \sqrt{20/3} \alpha^{1/4} h^{7/4}$$

$$\alpha=10^{-4}, h=0.05, q>0.0014$$

**Massive Planet!**

(See R Nealon's talk)

# Constraining planet properties using different methods

Direct:		Optical/IR	Radio	Planets Properties(~50 au)
Planets		Near-IR		Hot start: 2 M <sub>J</sub> Cold start: >10 M <sub>J</sub> Fortney+ 2008
CPDs	<i>I</i>	H $\alpha$ , Mid-IR (L',M)	dust thermal /gas lines	$\dot{M} < 5 \times 10^{-8} \text{ M}_J^2/\text{yr}$ Jean-Baptiste+ 2018
	<i>V</i>	spectro		1-10 M <sub>J</sub> Perez+ 2015, Pinte+ 2018
Indirect:	<i>I</i>	yes		Neptune mass Zhang+ 2018
Gaps	<i>V</i>		azimuthally averaged V	$\Delta V \sim 5\% V_K > \text{Saturn mass}$ Teague+ 2018 Zhang+ 2018
Spirals		Yes	Yes	>3 M <sub>J</sub> Dong+ 2015
Vortices		Hard to tell	Yes	$\alpha < 10^{-3}$
Shadow		Yes	Yes	Circumbinary Facchini+ 2014, Price+ 2018 Planet > M <sub>J</sub> Zhu 2019

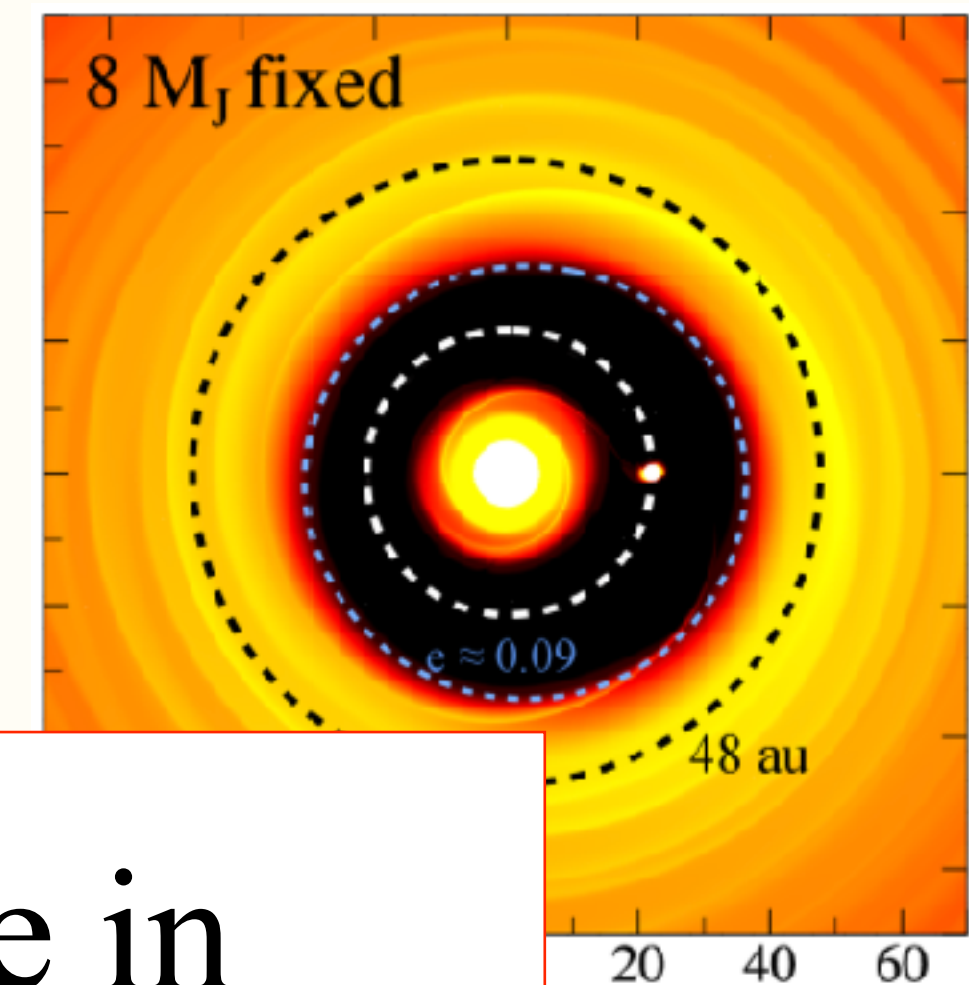
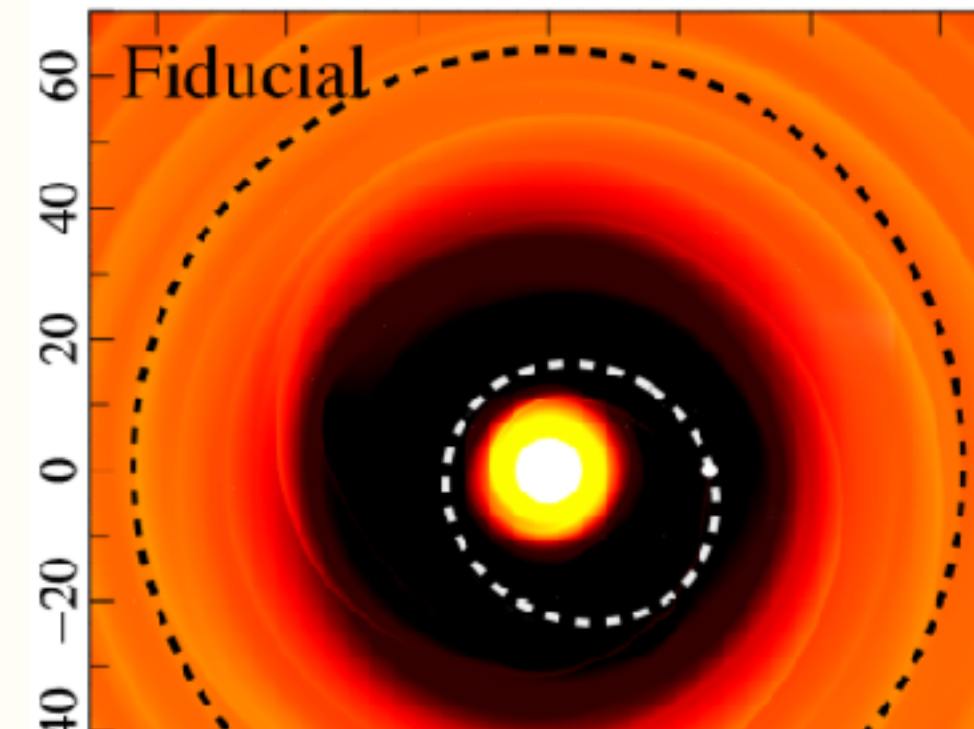


# PDS 70: testbed for all previous predictions

weak line T Tauri star  
(See M. Benisty's talk)

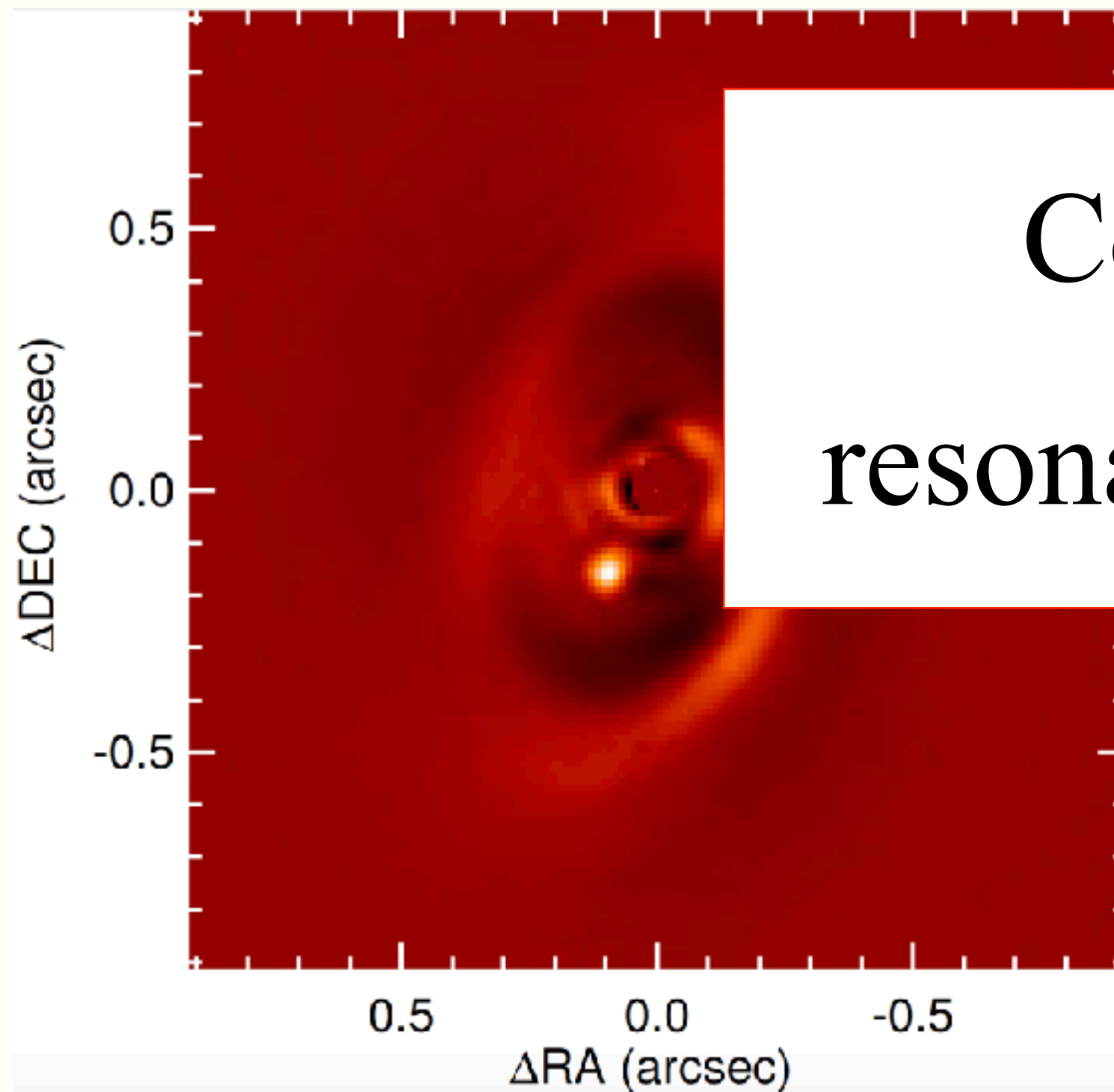
How can a 22 au planet  
open a 40-70 au gap?

Single planet  
 $e > 0.2$   
Requires the  
planet to accrete



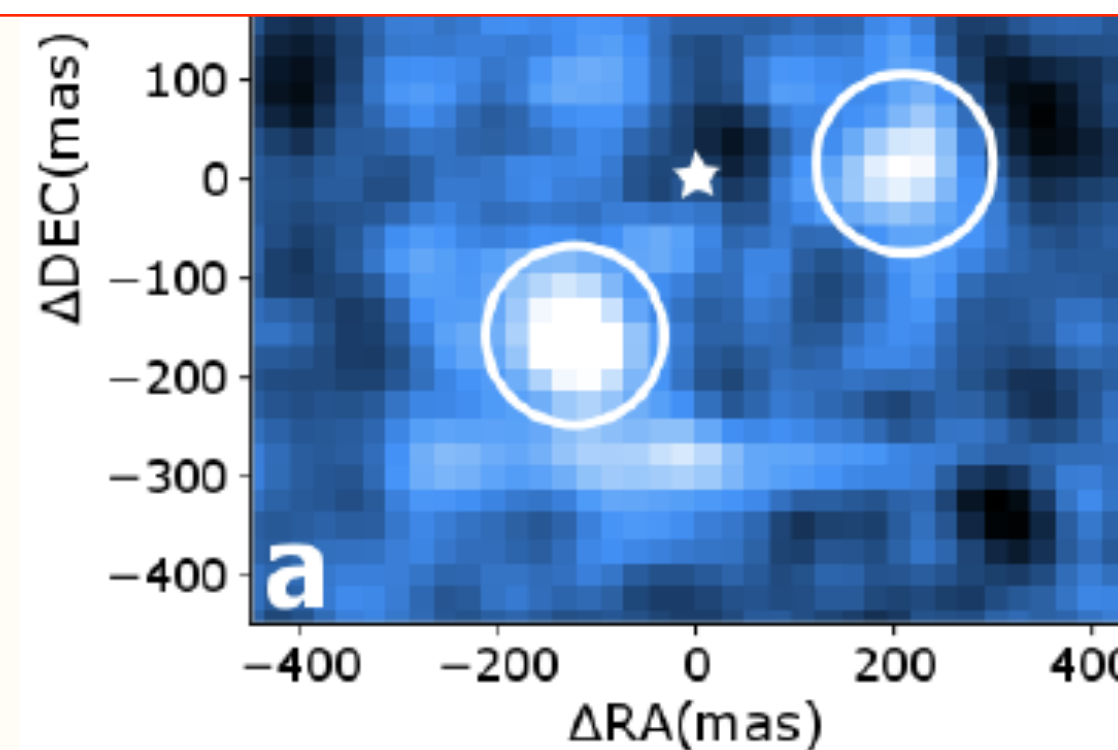
Van der Marel 2019

Confirm that multi-planets are in  
resonances and open a common cavity!

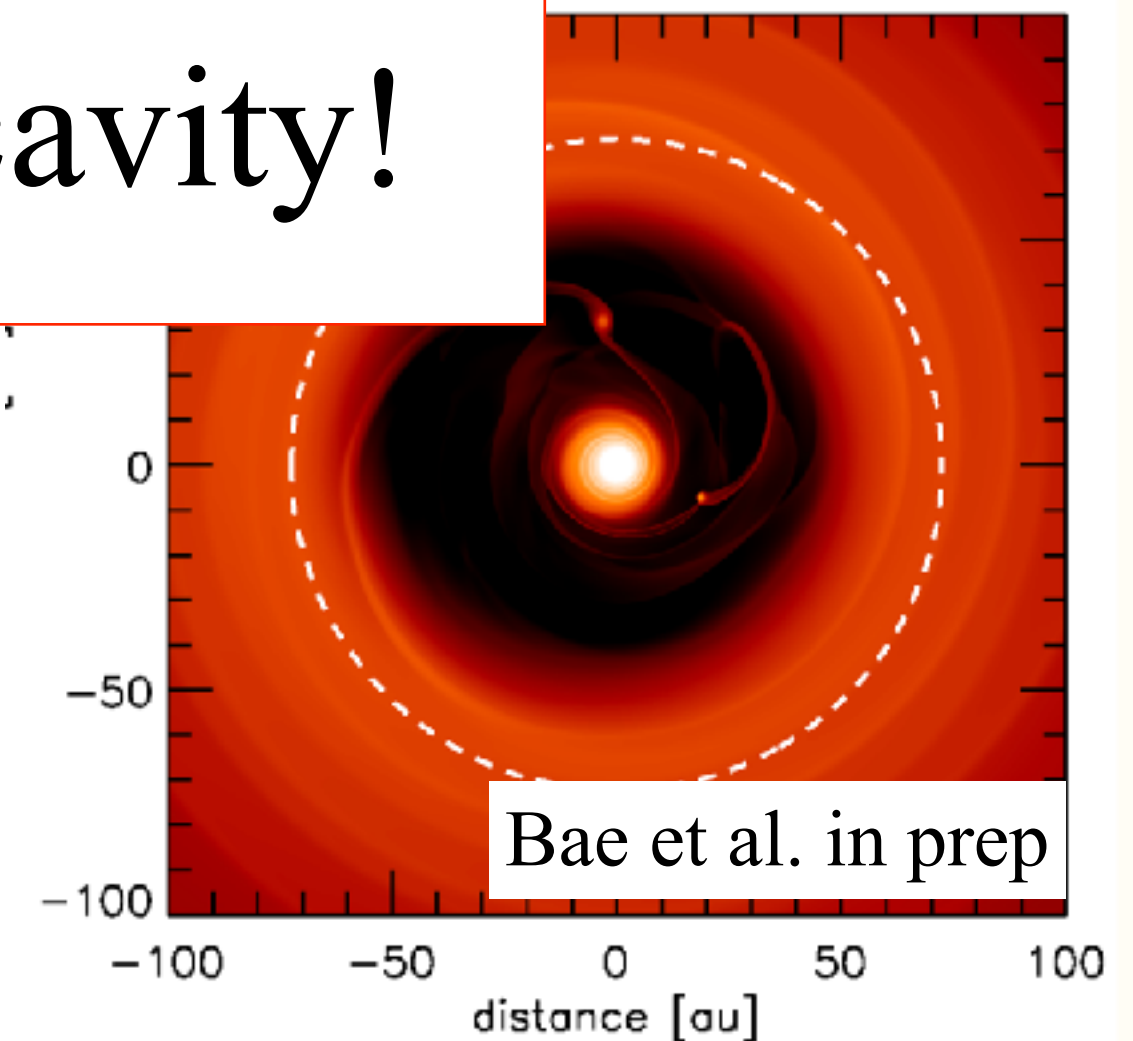


Keppler et al. 2018,  
Muller et al. 2018

PDS 70c ~35 AU  
2:1 mean motion resonance with b



Haffert et al. 2019



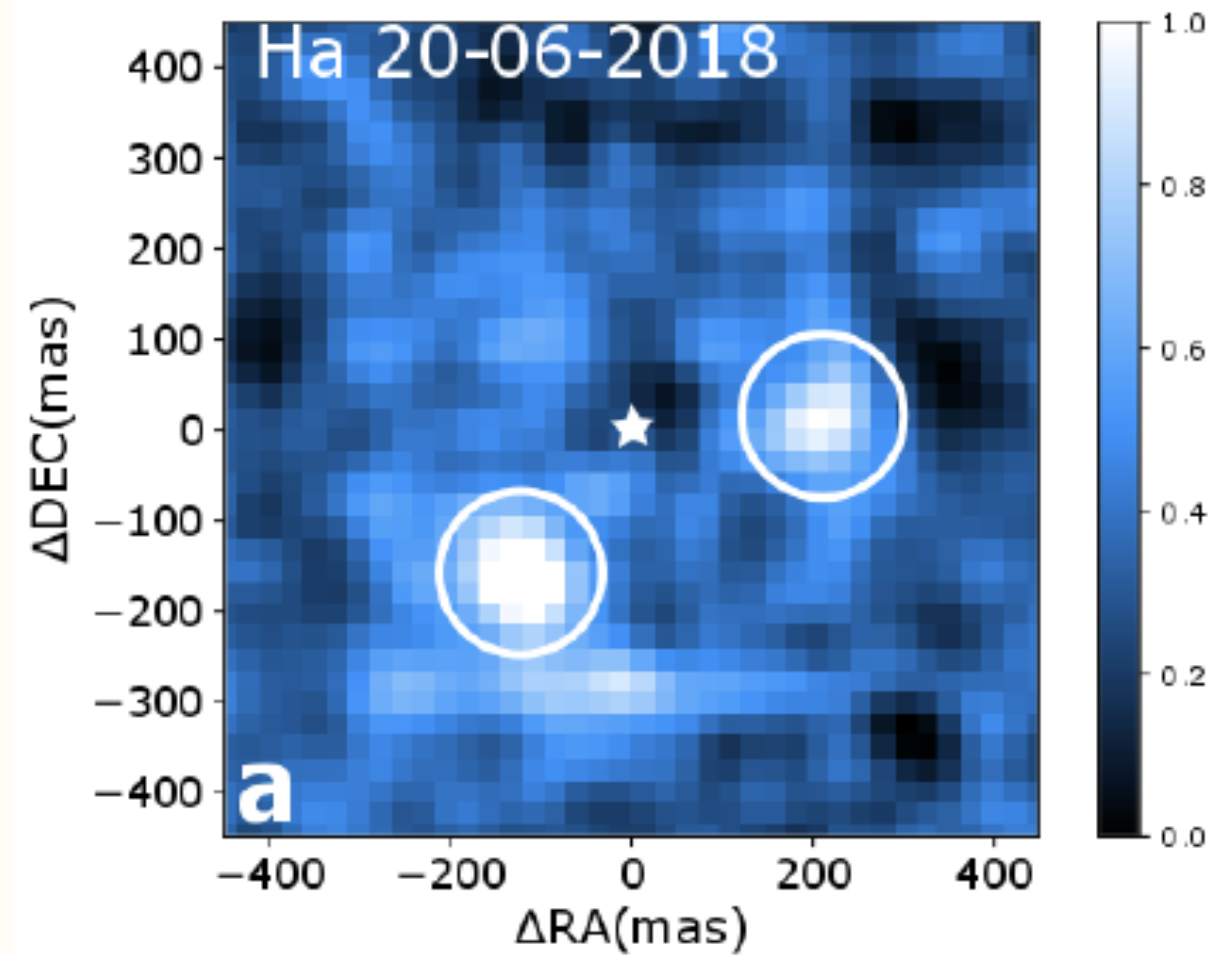
Bae et al. in prep

Zhu et al. 2011  
Dodson-Robinson & Salyk 2011



# PDS 70: test for circumplanetary disks

## First sign: H $\alpha$ line



Haffert et al. 2019, Wagner+ 2018

H $\alpha$  line flux of  $2 \times 10^{-6} L_{\odot}$ ,

10% line width  $\sim 200$  km/s

$\Rightarrow \dot{M}_p \sim 10^{-8} M_J/\text{yr}$

Magnetospherical Accretion:

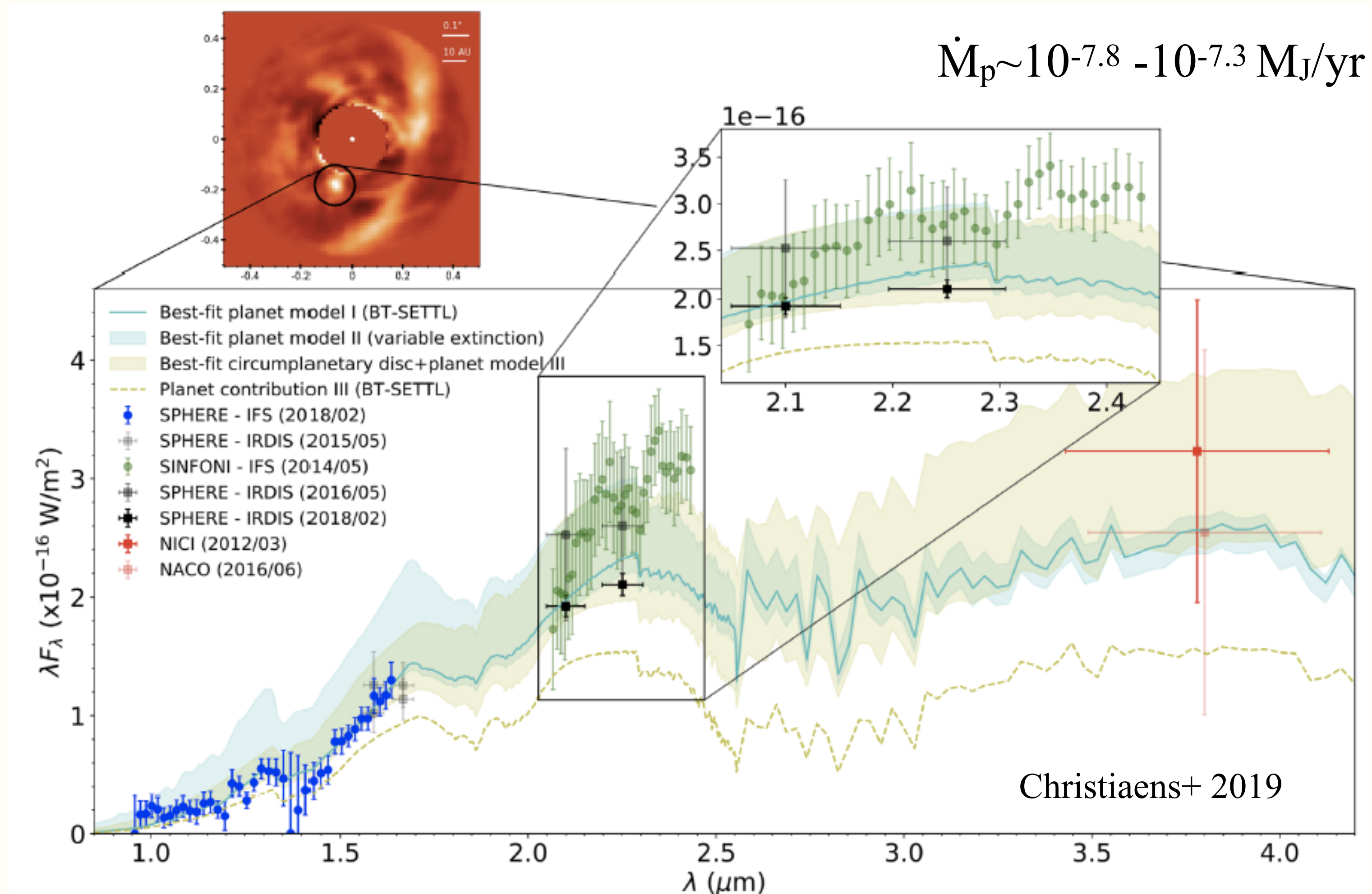
$$V_s \sim 59 \text{ km s}^{-1} \left( \frac{M_p}{M_J} \right)^{1/2} \left( \frac{R_p}{R_J} \right)^{-1/2} \zeta^{1/2}$$

$$L_{H\alpha} < 4.7 \times 10^{-6} L_{\odot} \left( \frac{R_T}{R_J} \right)^2 \left( \frac{v_s}{59 \text{ km s}^{-1}} \right)$$

Zhu 2015

## Second sign: near-IR excess

$$\dot{M}_p \sim 10^{-7.8} - 10^{-7.3} M_J/\text{yr}$$

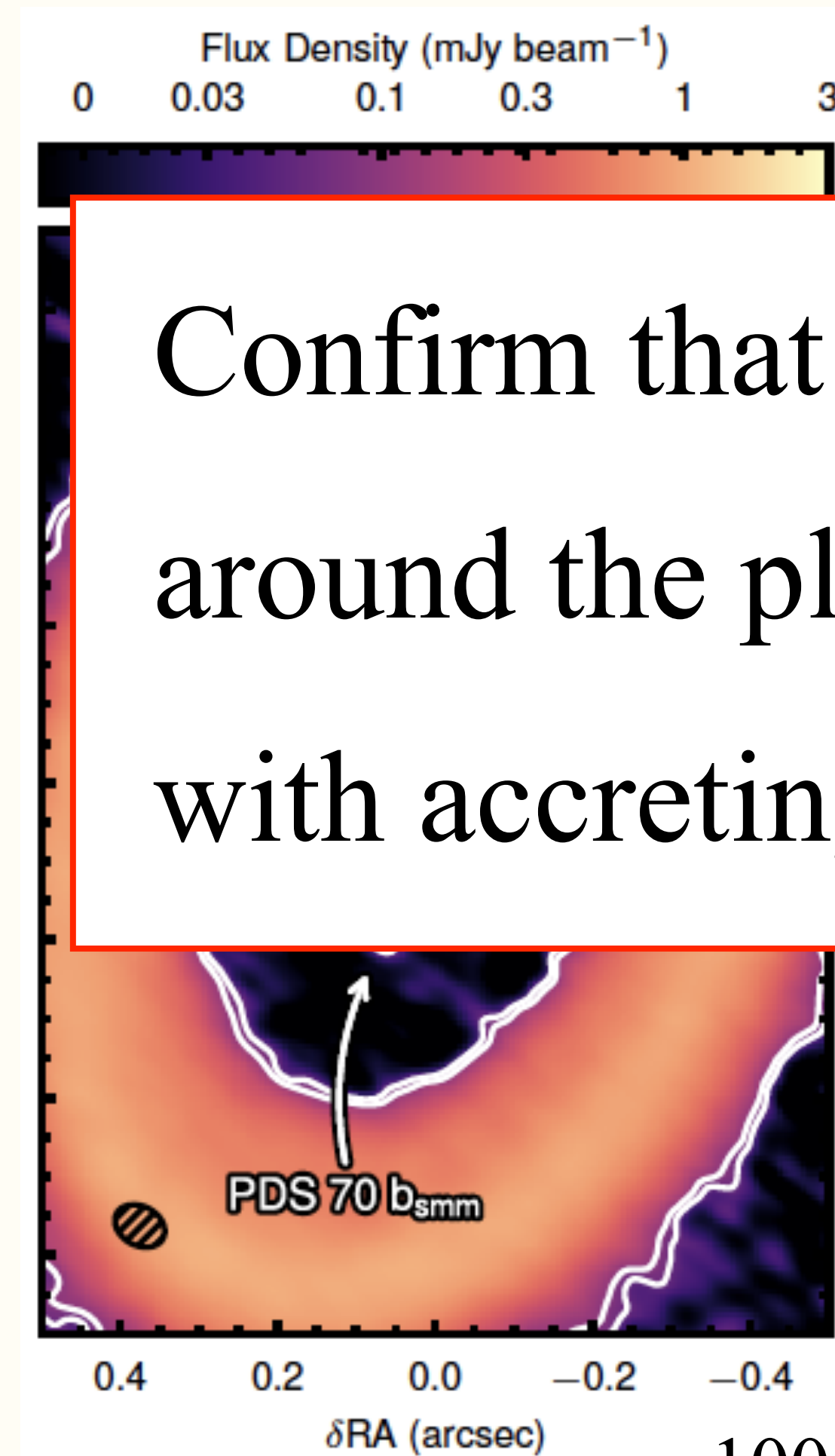


Christiaens+ 2019



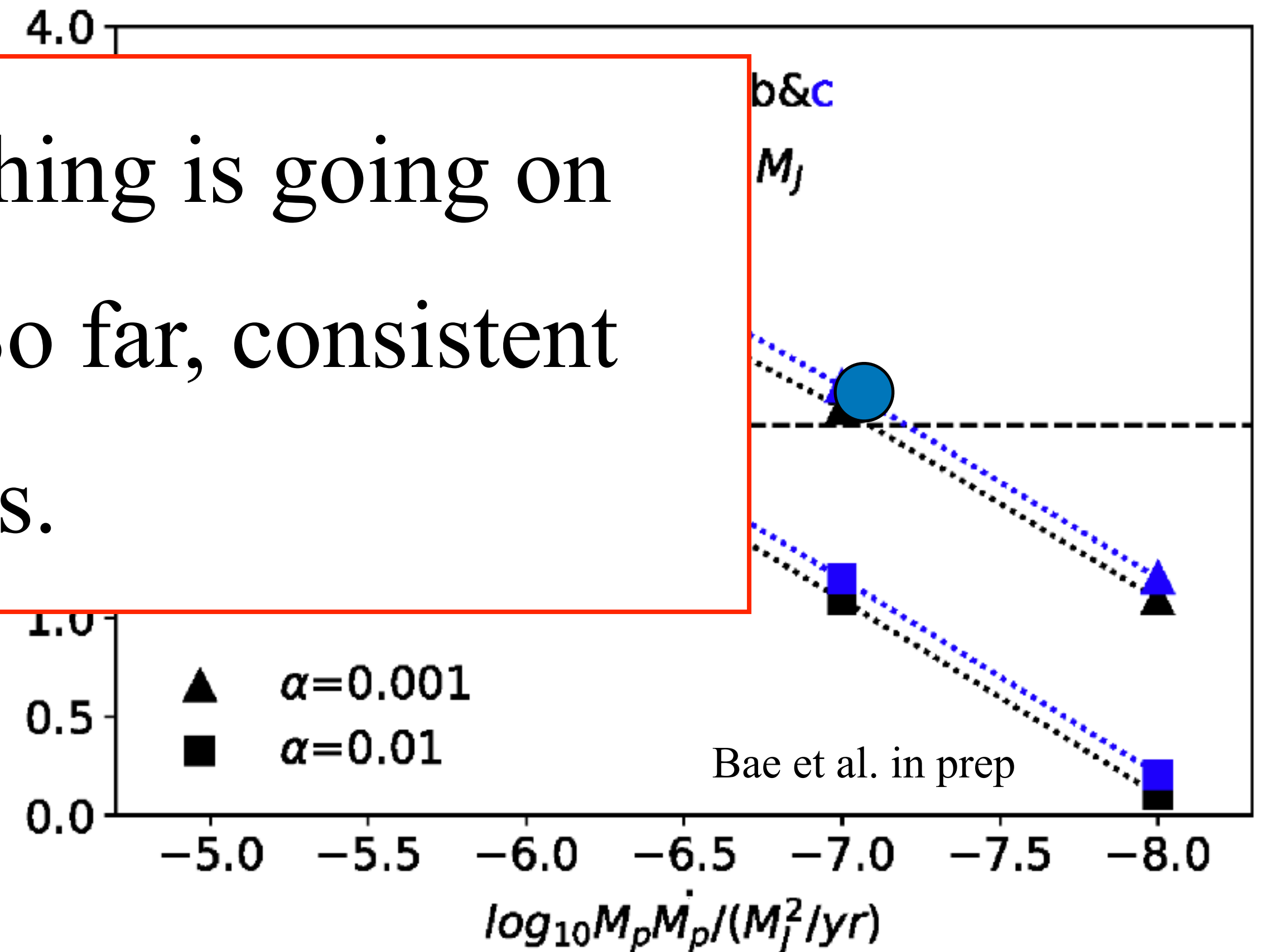
# PDS 70: test for circumplanetary disks

Third sign: submm source



Confirm that something is going on around the planet. So far, consistent with accreting CPDs.

Assuming dust/gas mass ratio 1:100



Isella+ 2019

Zhu, Andrews & Isella, 2018

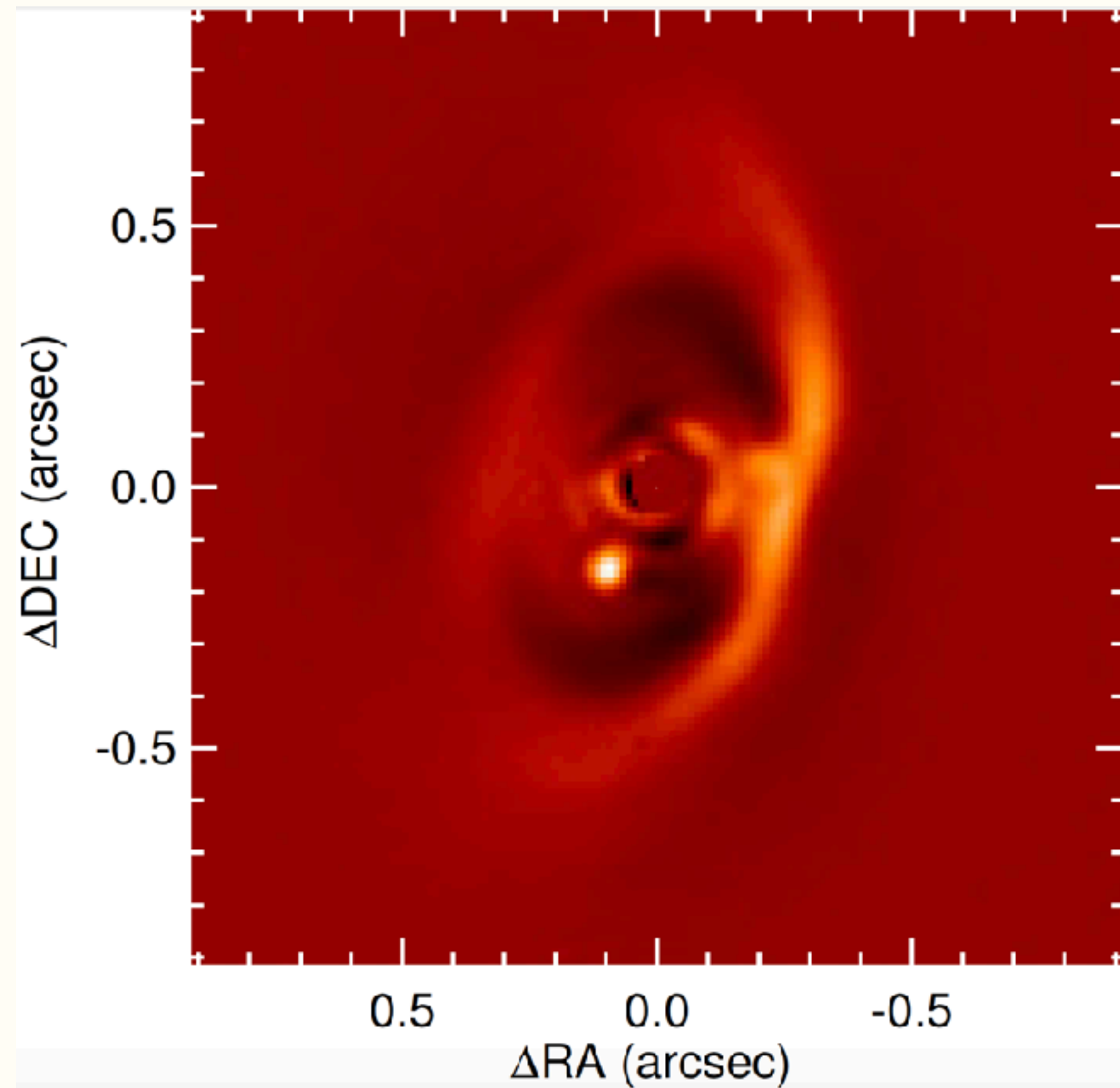
Isella et al. 2014

Szulagyi et al. 2019

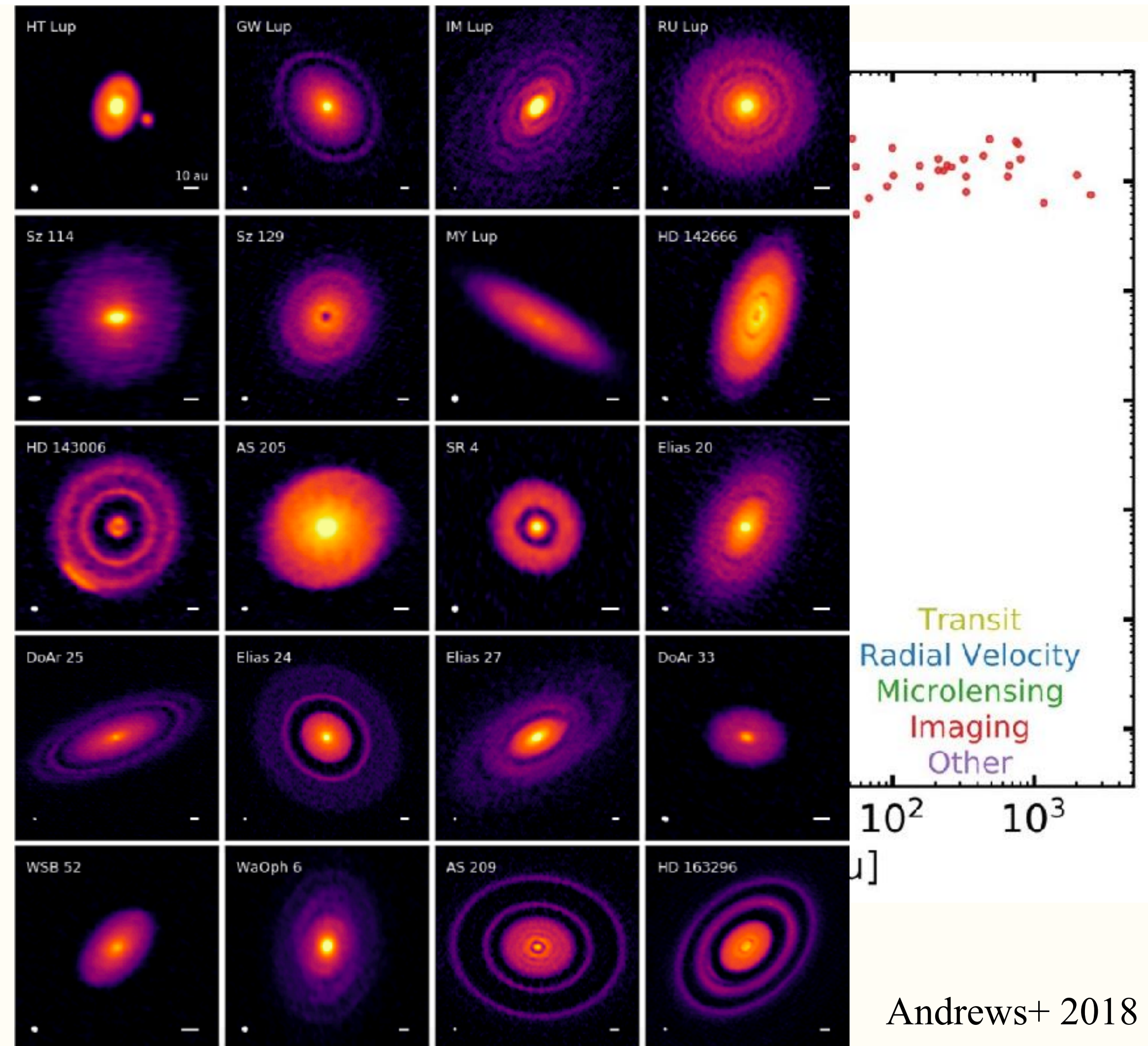


# Young Planet Population

## A single case



## What about the young planet population?



Andrews+ 2018



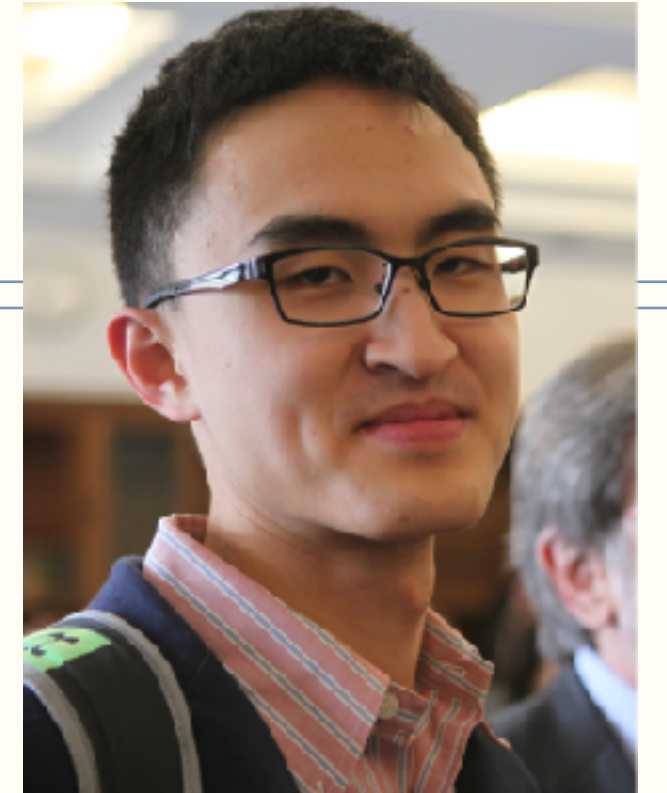
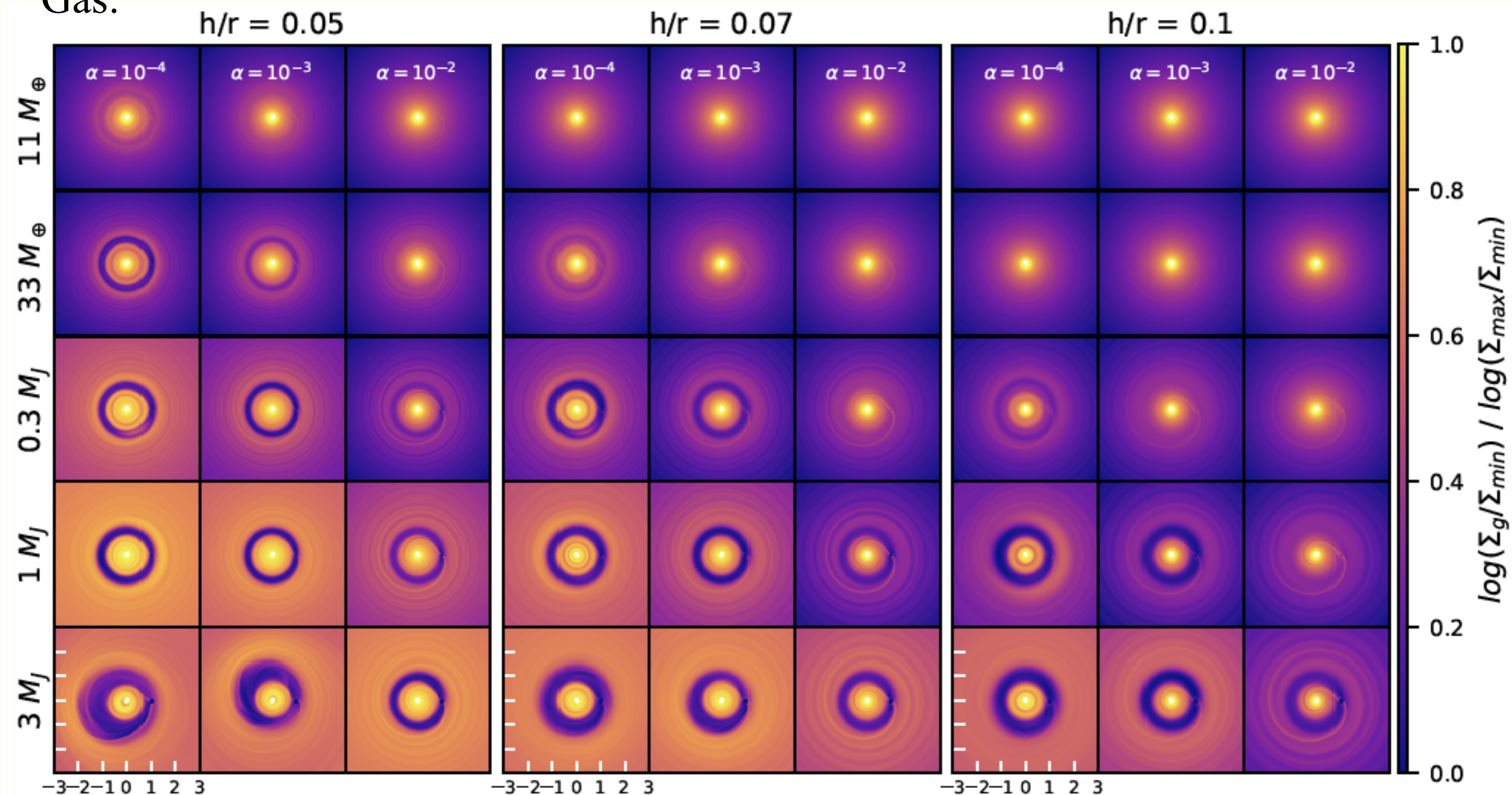
# Young Planet Population (DSHARP VII, Zhang, Zhu+2018)

We did a full parameter study for simulations.

Code: Dusty FARGO-ADSG (Masset 2000, Baruteau & Masset 2008, Baruteau & Zhu 2016)

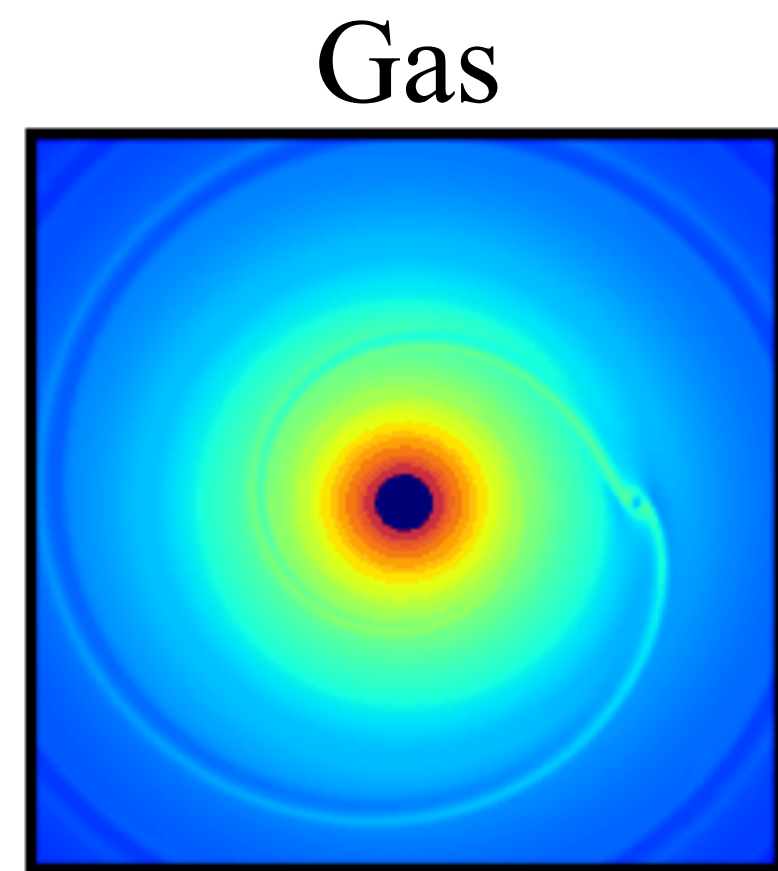
5 Planet masses, 3 turbulent level ( $\alpha$ ), 3 disk scale height ( $h/r$ )

Gas:

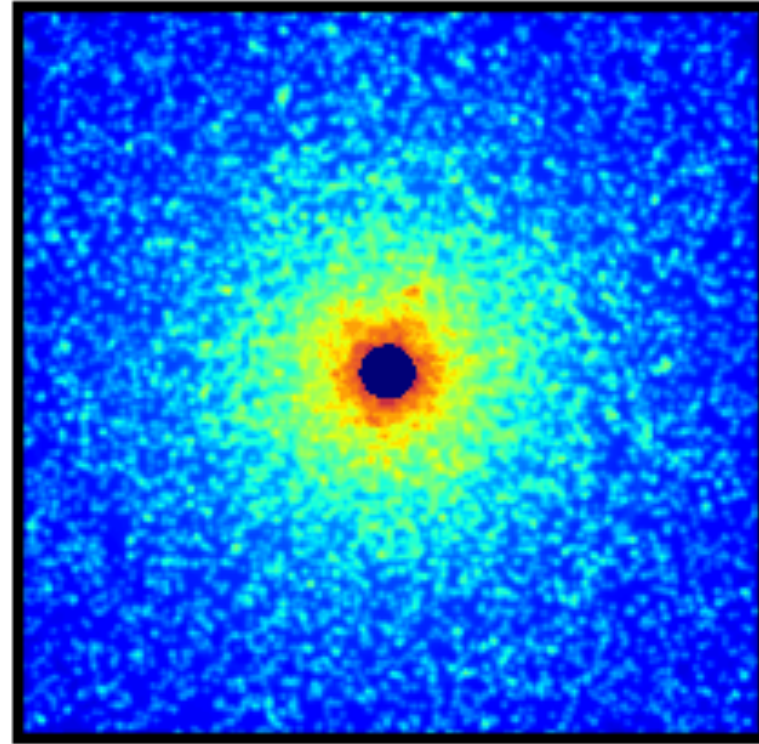




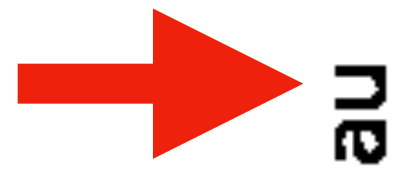
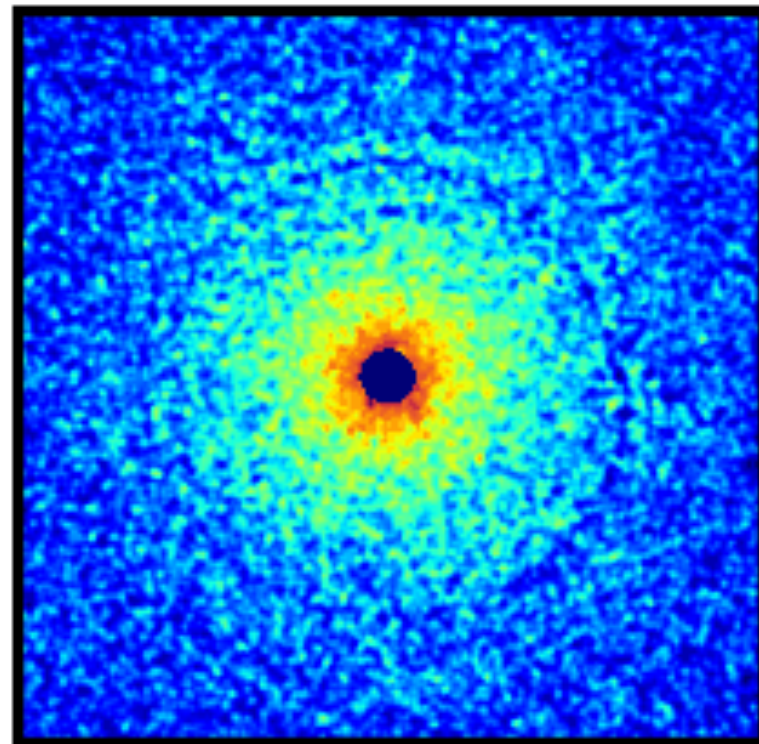
# Synthetic Images



Small particles

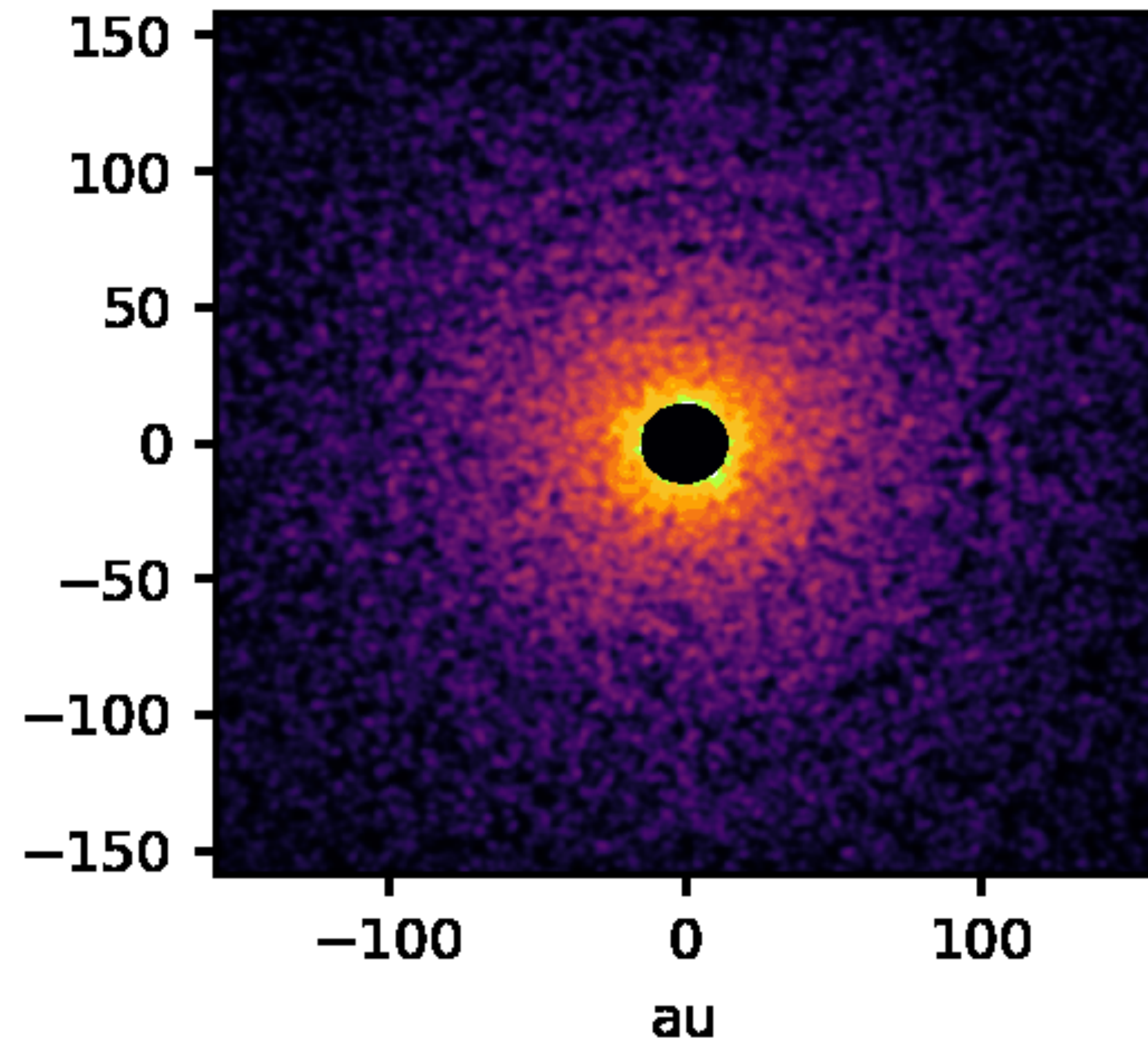


Big particles



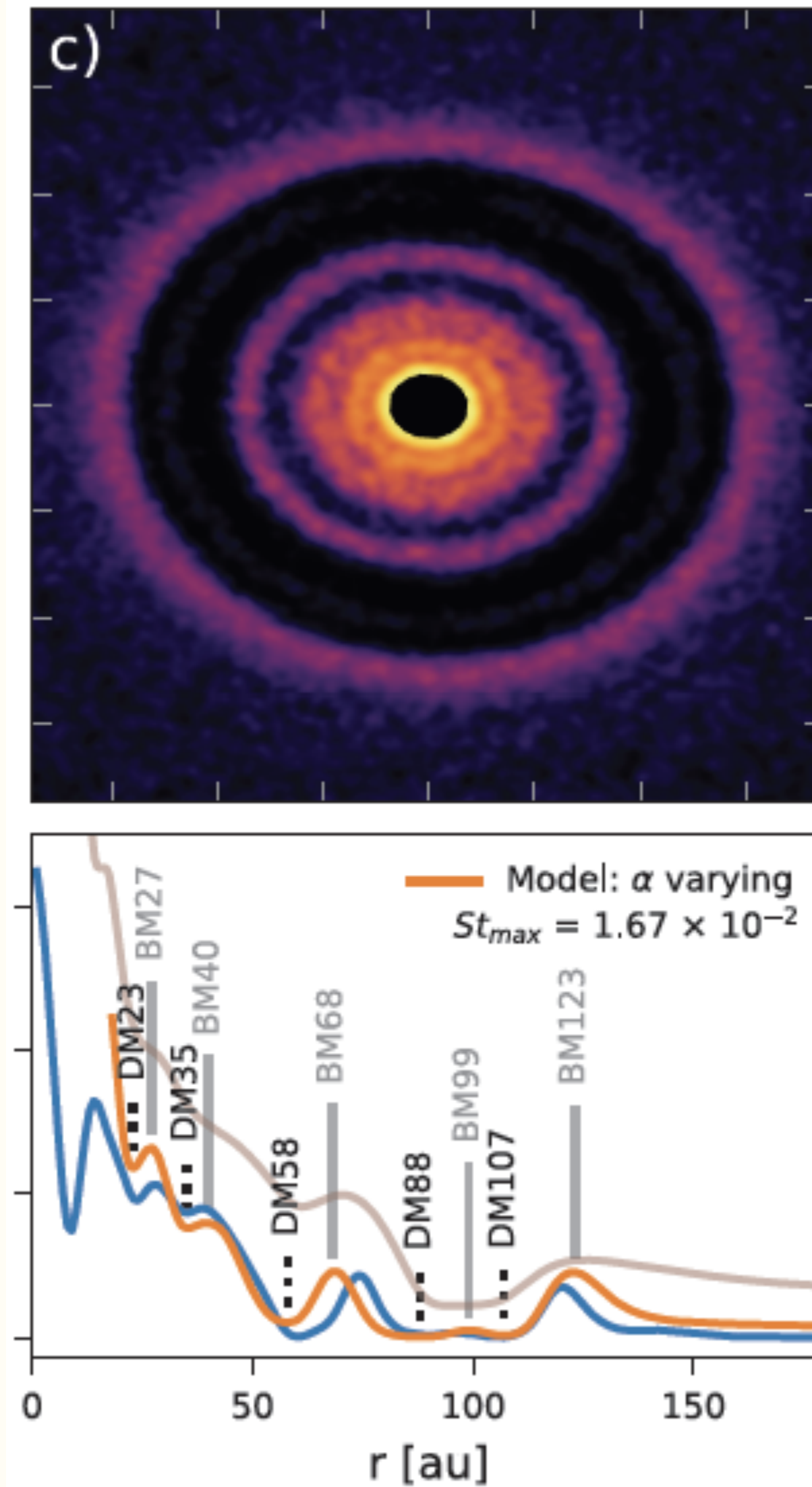
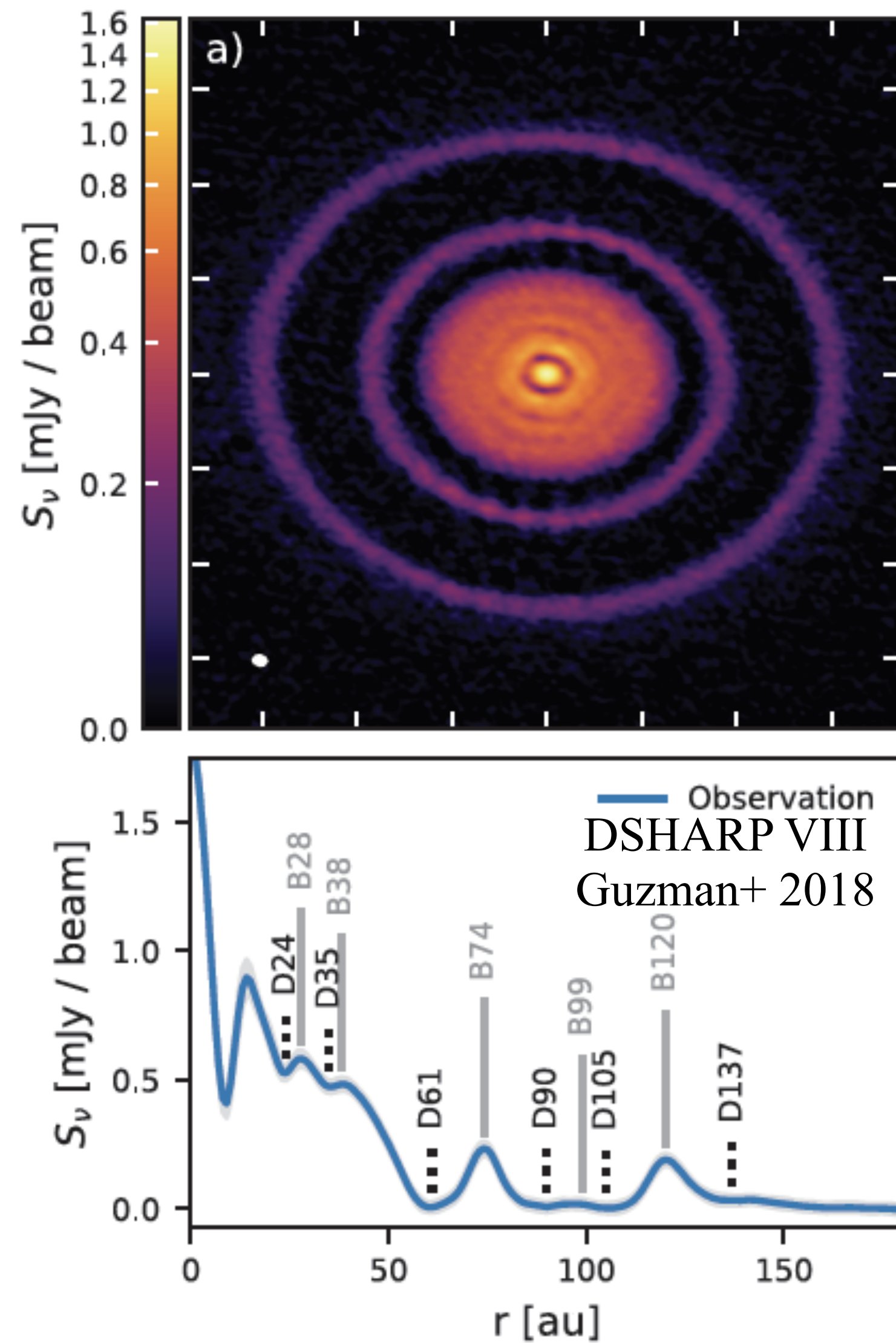
au

Submm intensity





# AS 209



$$M_p = 0.3 M_{\text{saturn}}$$



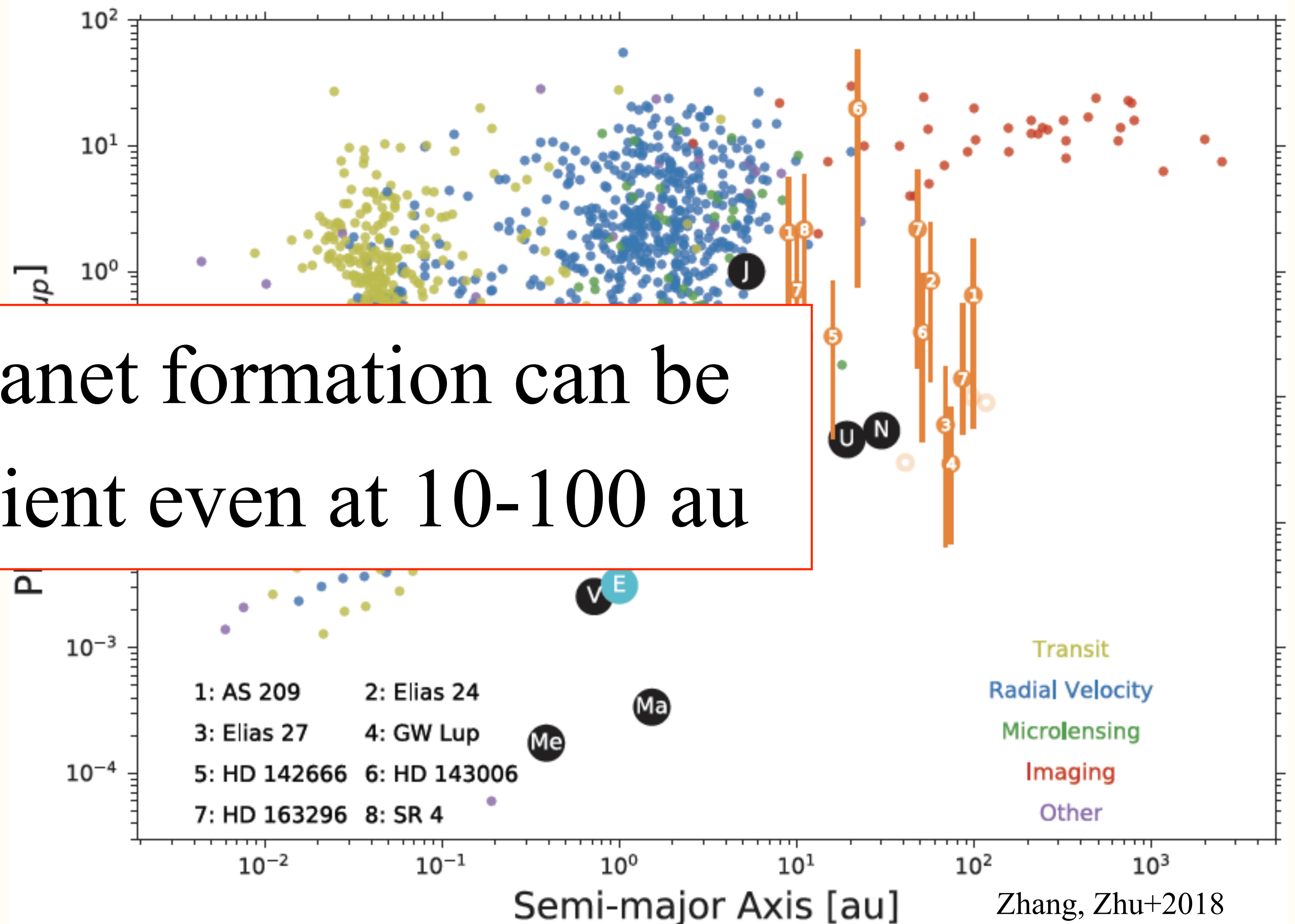
# Young Planet Population

1. The occurrence rate for  $M_p > 5 M_J$  beyond 5-10 au is 6% (consistent with direct imaging results)
2. A large population of Neptune to Jupiter mass planets beyond 5-10 au. (occurrence rate

Planet formation can be efficient even at 10-100 au

What are the consequences?

e.g. Lodato+ 2019





# Outline:

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## 1. Observational Signatures of Young Planets

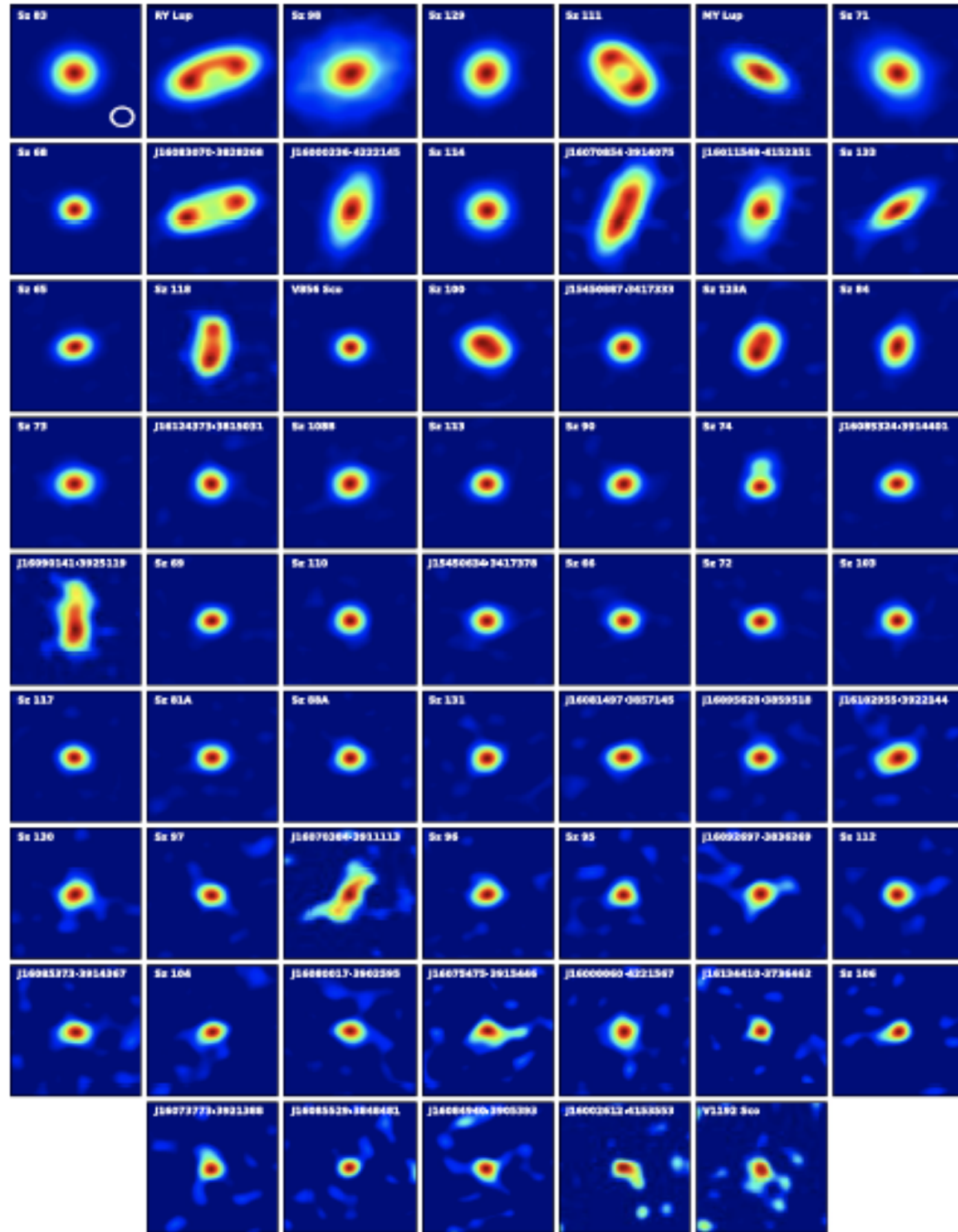
- Planet-disk interaction (gap opening)
- Planet population from DSHARP

## 2. Understand demographics of protoplanetary disks

- Mass budget problem
- One solution: dust scattering



# ALMA disk survey: Mass Budget Problem



Lupus ALMA  
cycle 2 Survey

Andsell et al. 2016

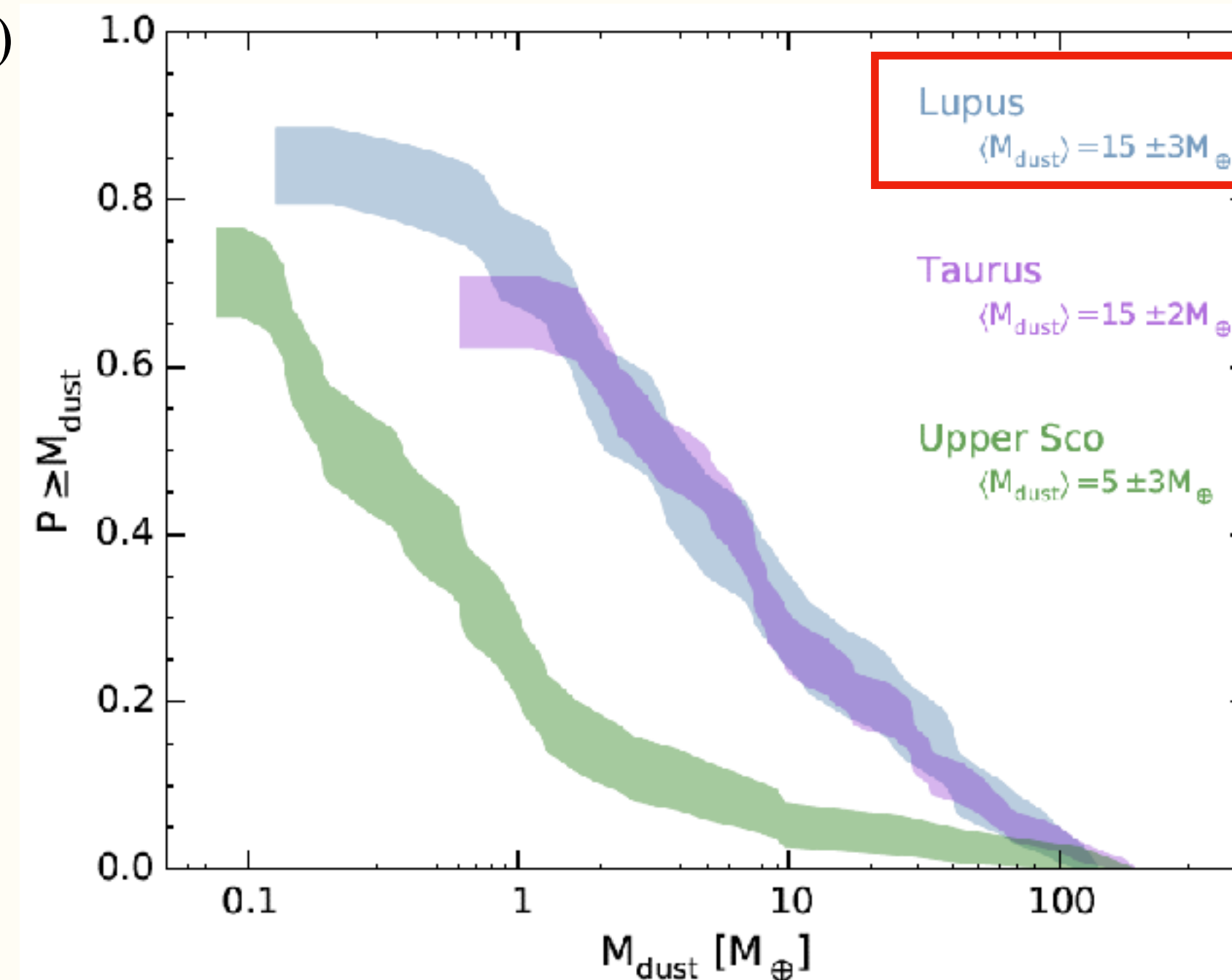
$$I_\nu(r) = B_\nu(T_{mid}(r))(1 - e^{-\tau_\nu(r)}) \quad \text{Beckwith et al. (1990)}$$

When the disk is optically thin:

$$M_{\text{dust}} = \frac{F_\nu d^2}{\kappa_\nu B_\nu(T_{\text{dust}})}$$

Assume  $T_{\text{dust}}=20$  K, and opacity  
 $\kappa=3$  cm<sup>2</sup>/g at 1 mm

Hildebrand (1983)



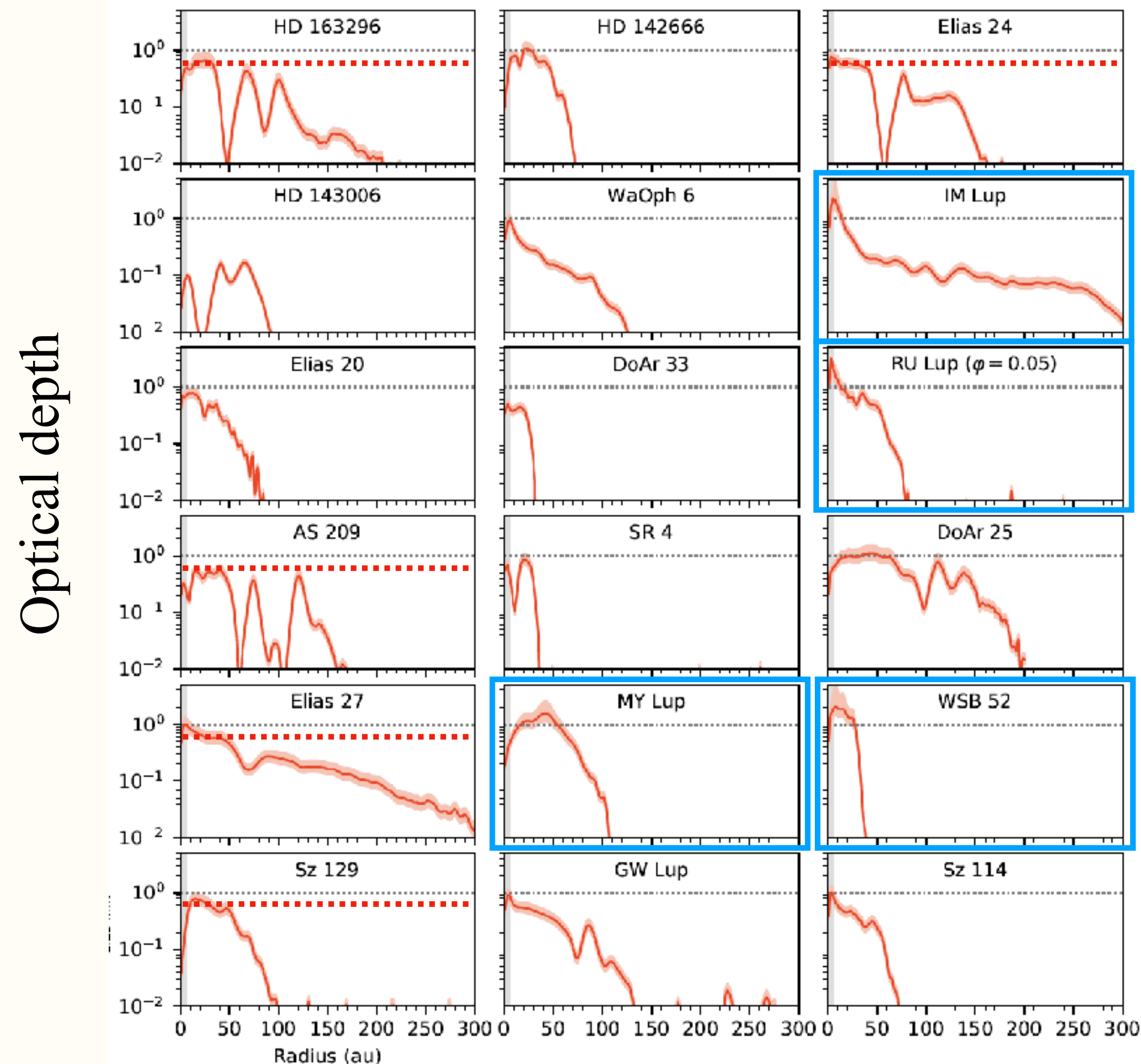
Andsell et al. 2016,  
Andrews et al. 2013,  
Cieza et al. 2019

There appears to be a **mass budget problem** (Najita & Kenyon 2014)



# Solutions:

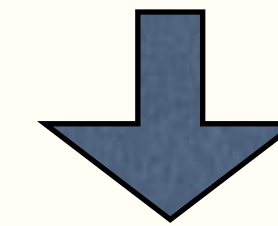
1. Dust growth starts early (e.g. HL Tau) (Najita & Kenyon 2014)
2. Protoplanetary disks are optically thick, more dust than observed



$$I_{\nu}(r) = B_{\nu}(T_{mid}(r))(1 - e^{-\tau_{\nu}(r)})$$

- Only 4 disks are optically thick. Even these 4 disks have  $\tau \lesssim 3$
- A lot of disks have the maximum  $\tau \sim 0.6$

Dullemond et al. 2018

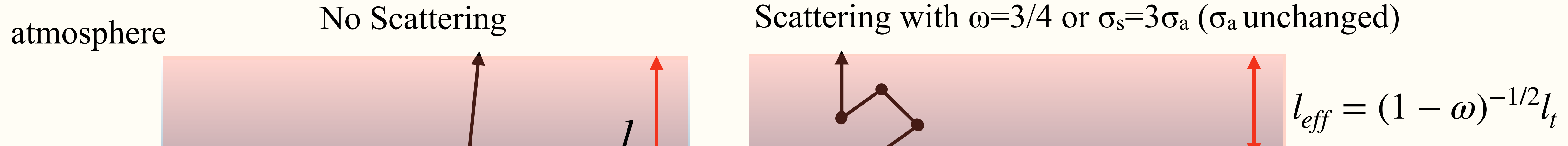


Optically thick disk scenario is not preferred

DSHARP II  
Huang et al. 2018



But  $I_\nu(r) = B_\nu(T_{mid}(r))(1 - e^{-\tau_\nu(r)})$  only stands if there is no scattering!



Ex  
Optic

$$I_\nu^{out} = B_\nu(1 - e^{-\tau_{\nu,d}/\mu}) \left( 1 - \omega_\nu \frac{e^{-\sqrt{3(1-\omega_\nu)}\tau_\nu} + e^{\sqrt{3(1-\omega_\nu)}(\tau_\nu - \tau_{\nu,d})}}{e^{-\sqrt{3(1-\omega_\nu)}\tau_{\nu,d}}(1 - \sqrt{1-\omega_\nu}) + (\sqrt{1-\omega_\nu} + 1)} \right)$$

with  $\tau_\nu = 2\mu\tau_{\nu,d}/(3\tau_{\nu,d} + 1)$  Zhu et al. (2019)

midplane

$I \sim$

Scattering makes optically-thick  
disks look fainter!

$(\sigma_a + \sigma_s)$   
ing absorbed  
bsorption is

This effect have b  
Miyake & Nakagawa (1  
Sierra et al. (2017), Bir

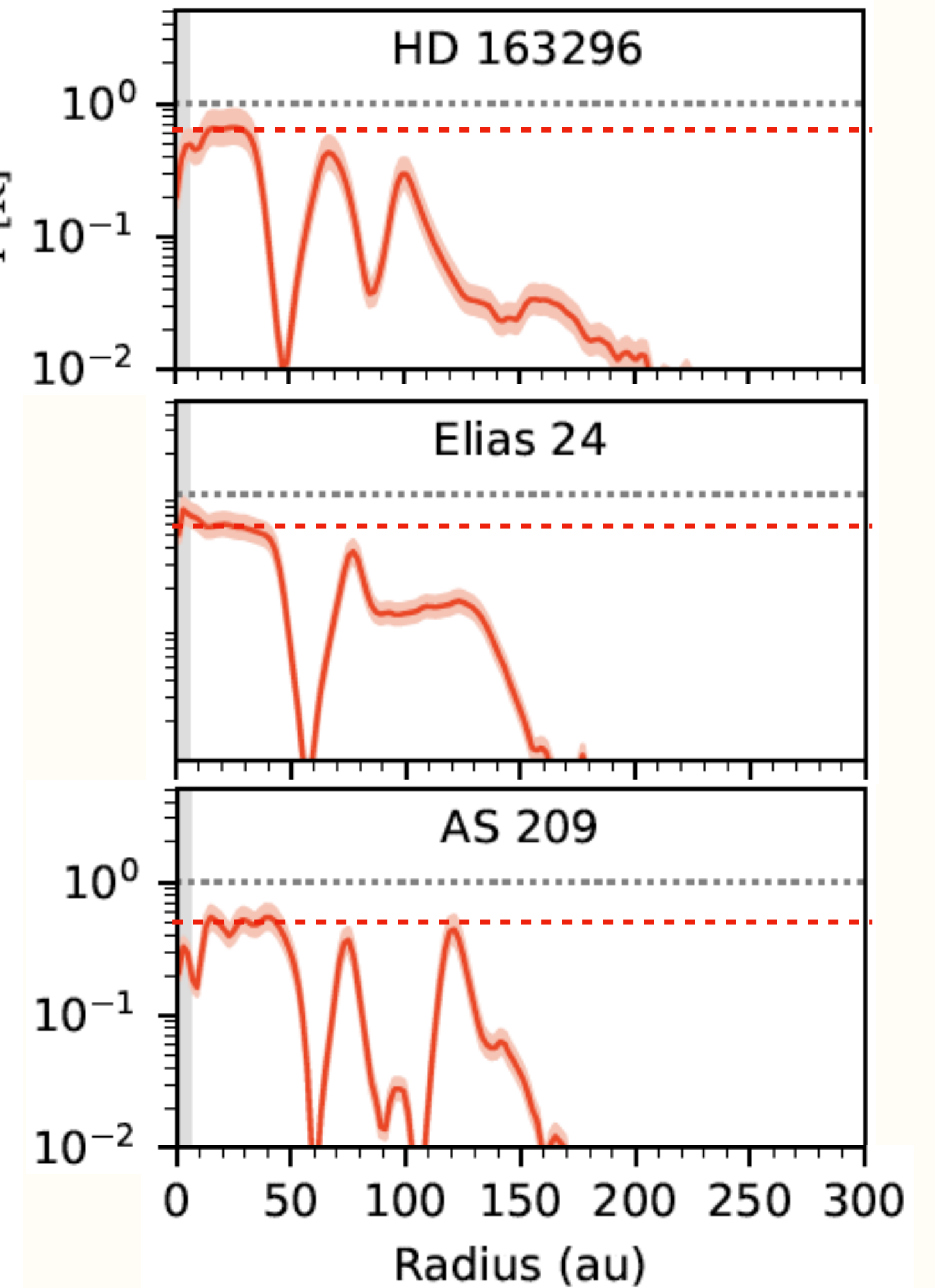
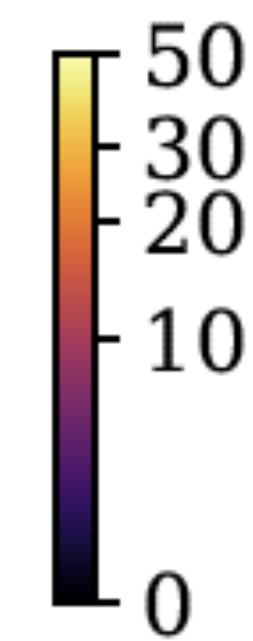
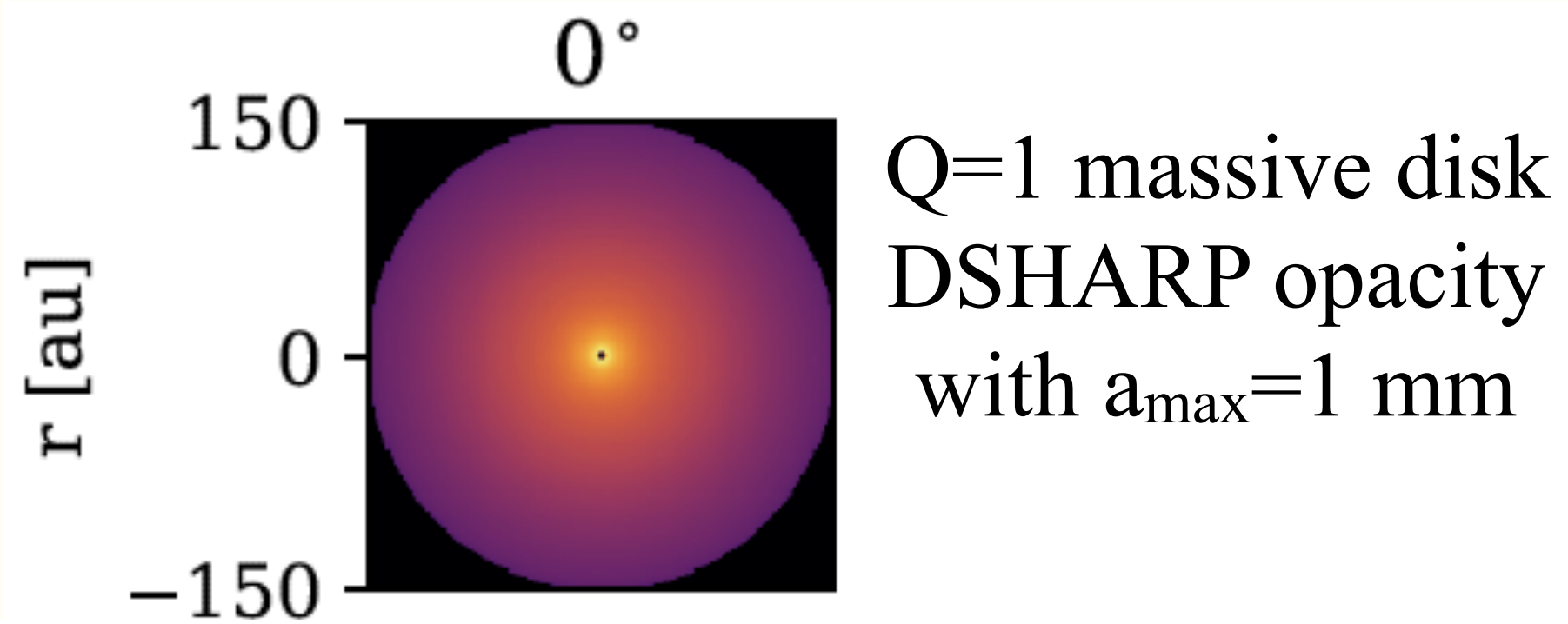
**But its effect on disk mass  
measurement has been ignored!**

$$I \sim j \times l_{eff} = \sigma_a B l_{eff} = B(1 - \omega)^{1/2}$$

Zhu et al. (2019)



# Underestimate the optical depth significantly (MCRT)

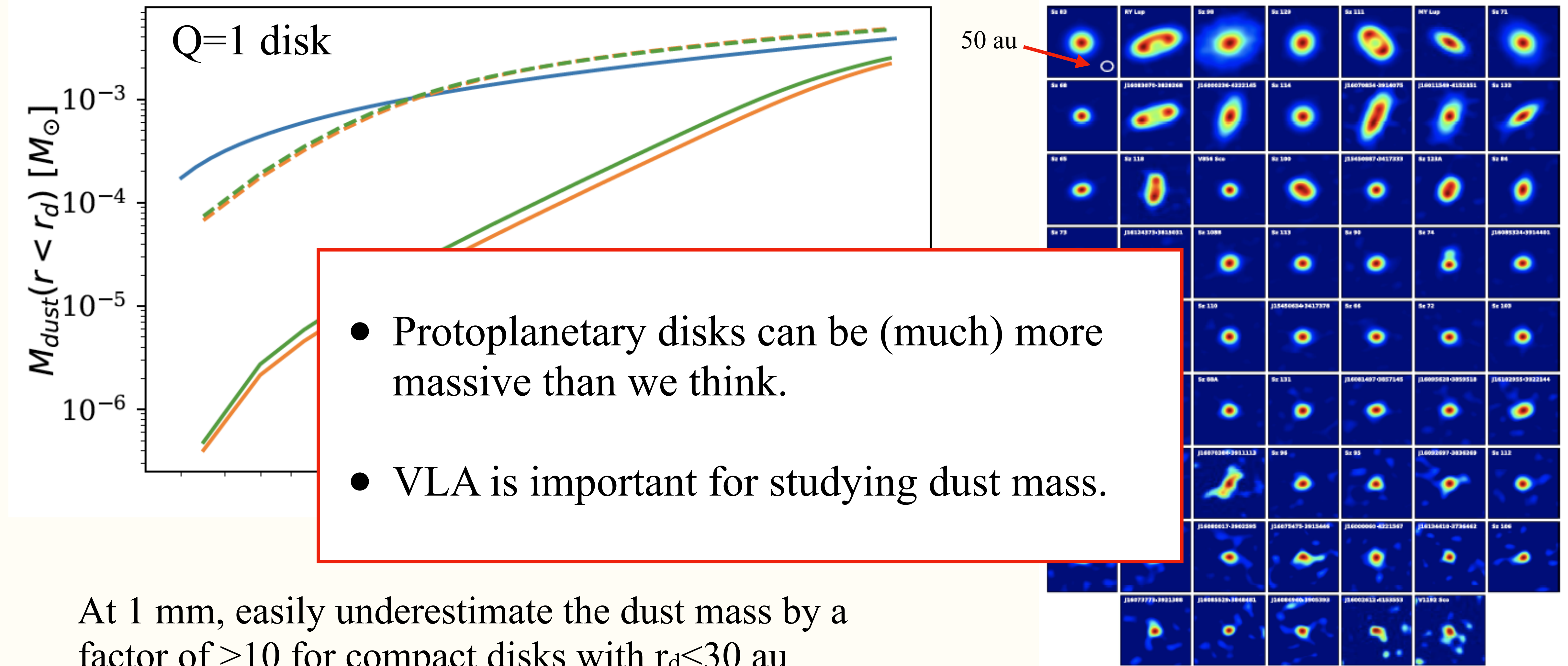


— no scattering    - - - iso scattering    . . . full scattering

Zhu et al. (2019)

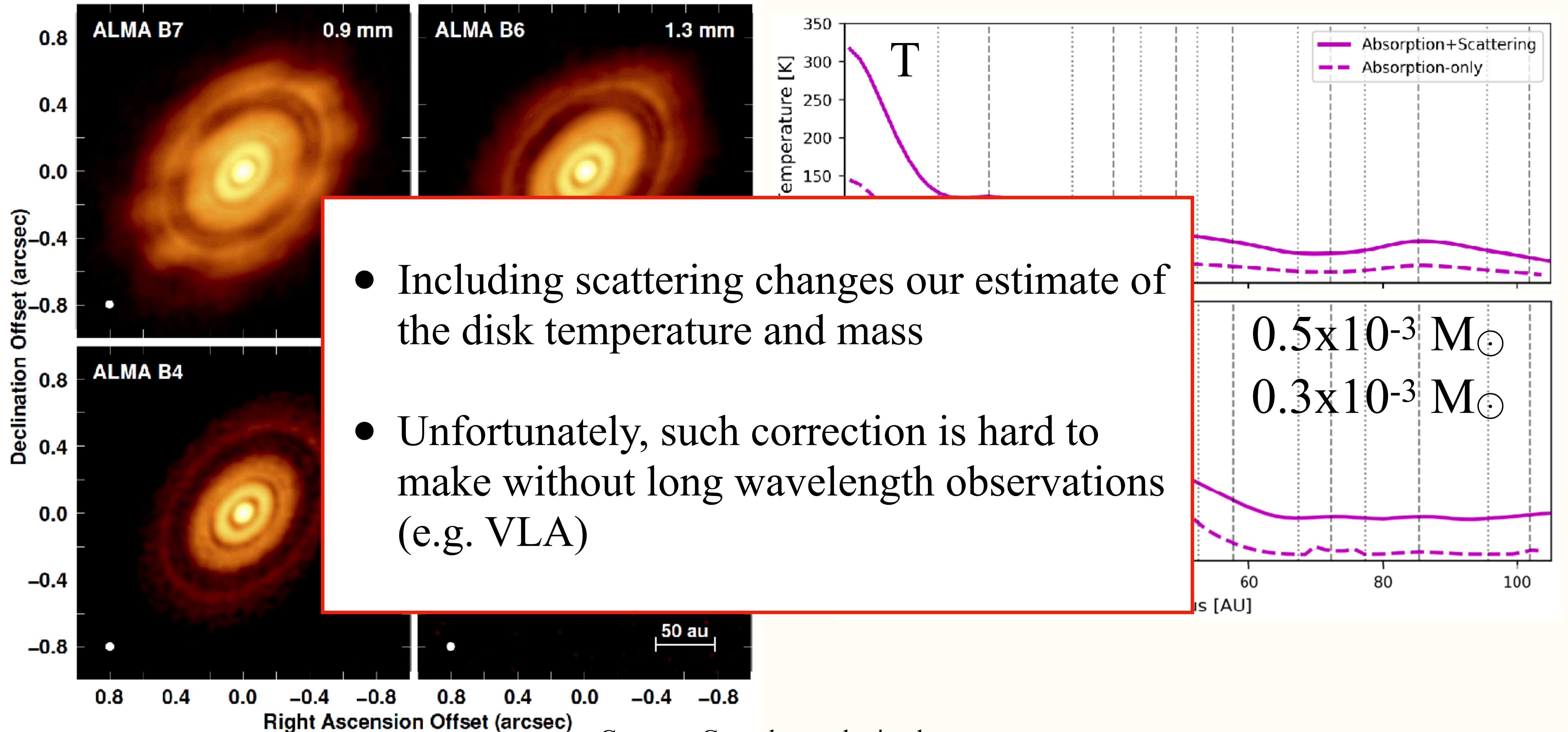


# Underestimate the disk mass significantly!





# One example: HL Tau





# constraining not mass but dust properties

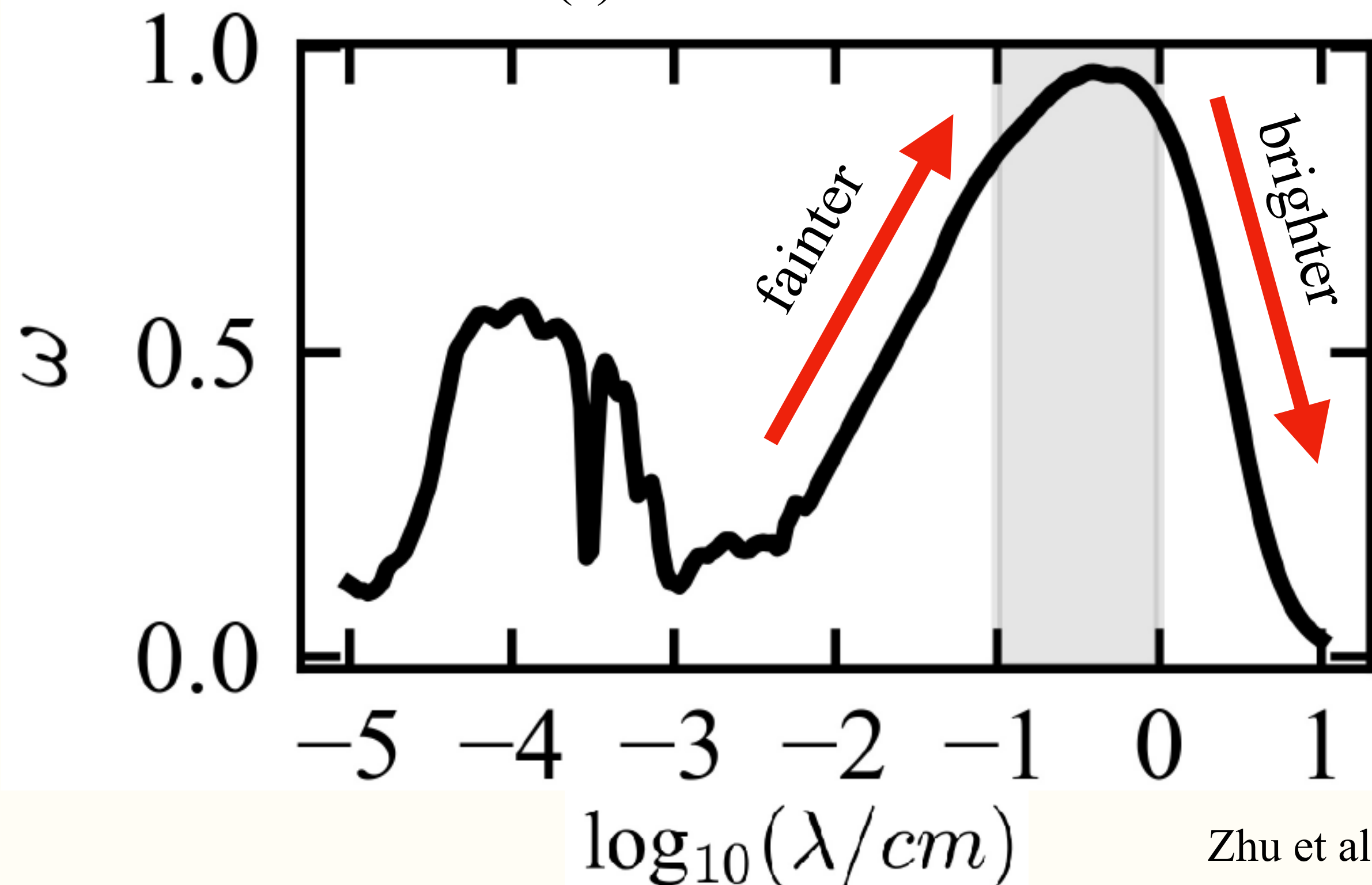
DSHARP  $\tau \sim 0.6$

$\Rightarrow$  Albedo  $\omega_{1.25 \text{ mm}} \sim 0.9$

$\alpha$  can be larger or smaller than 2 depending on if  $\omega$  increases or decreases with wavelength

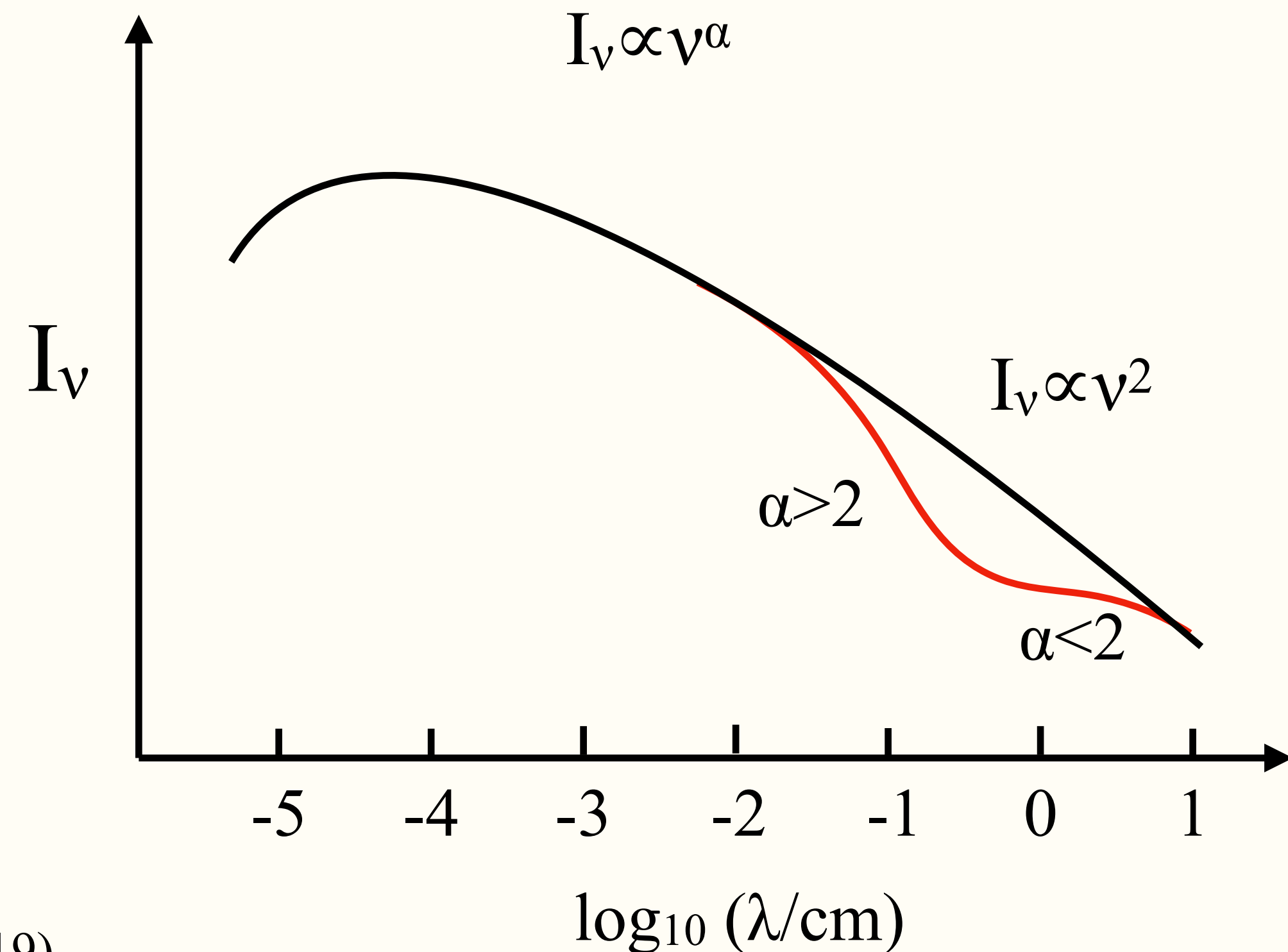
Also in Liu (2019)

DSHARP opacity  
with  $n(s) \propto s^{-3.5}$   $s_{\text{max}} = 1 \text{ mm}$



Zhu et al. (2019)

TW Hydrae:  $\alpha < 2$  at the inner disk. (Huang et al. 2018)





# Other applications:

## 1. Luminosity-size relationship

Andrews et al. 2010, 2018

Tripathi et al. 2017

0.3 filling factor  
gaps or **scattering**

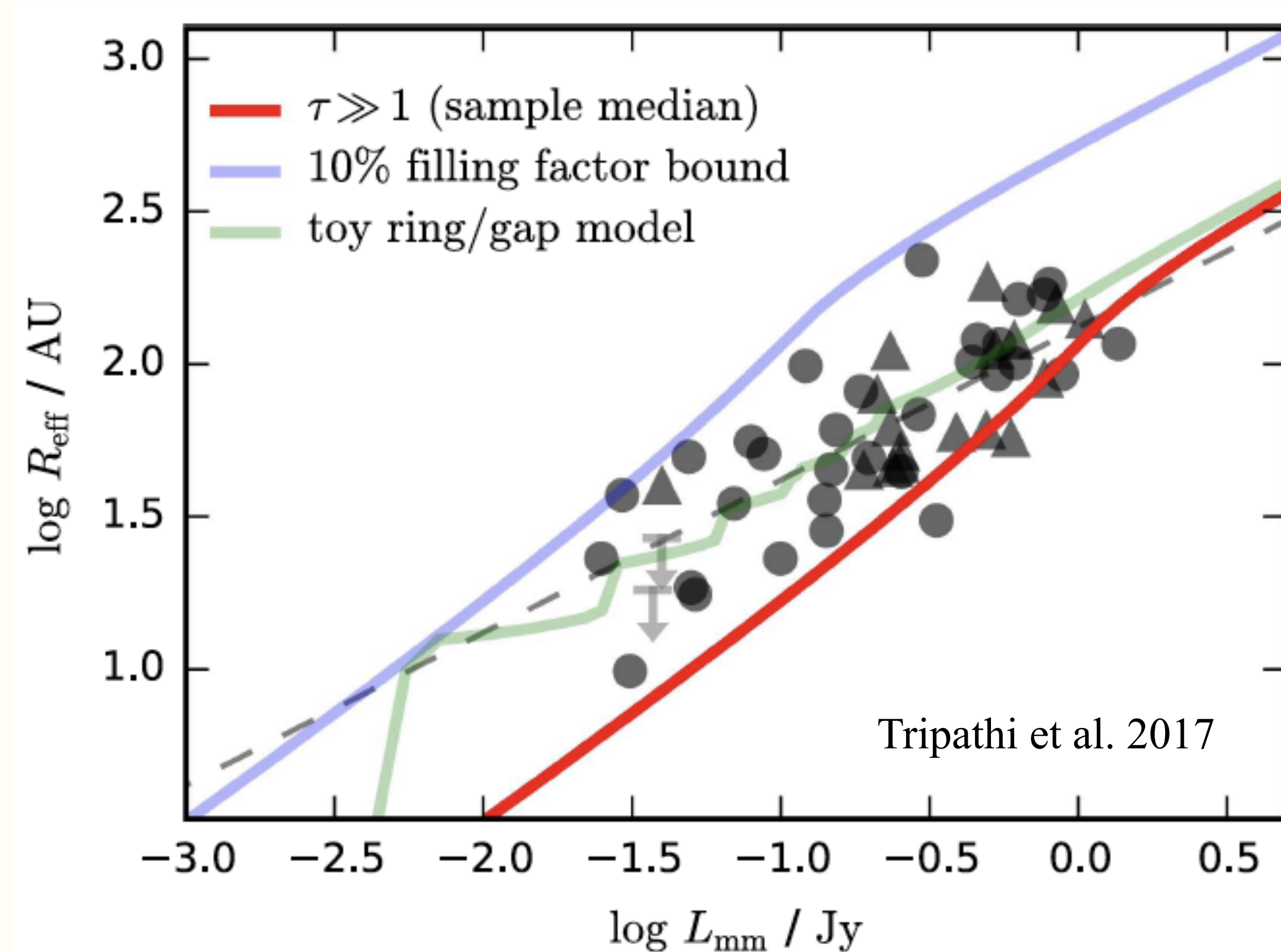
## 2. Polarization measurements

Kataoka et al. 2015, 2016, 2017

Yang et al. 2016ab

## 3. Pebble accretion

## 4. Implications for molecules?



# Conclusion:

## 1. Disk structure and young planet population

- Diverse disk structure. If they are caused by planets, what
- PDS 70 (explore all other methods)
- Young planet population (Neptune-Jupiter mass planets are cor

## 2. Understand demographics of protoplanetary disks

- Mass budget problem
- One Solution: optically thick disks with dust scattering
- Solve many problems ( $\tau \sim 0.6$ , spectral index, luminosity-ra

